

Request Form for change in status from Minor to Major



Date

The Trustees

LIC Mutual Fund Asset Management Limited

1. Application Details (unit holder who is requesting for change of status from MINOR to MAJOR)

Name Mr./Ms.

Date of Birth

PAN No.

Tax Status ☐ Resident Individual ☐ NRI ☐ PIO ☐ Other (Please Specify)

KYC Detail (Please tick ✓ whichever is applicable) ☐ KYC Acknowledgement attached ☐ KYC form attached ☐ C - KYC Identification no.

Name of the Guardian Mr. / Ms.

Relationship with the applicant: [please (✓) tick whichever is applicable]

☐ Father ☐ Mother ☐ Court Appointed Guardian

I, the above applicant, hereby request you to change my status from Minor to Major in the following Folio/s and delete the Guardian's name therein since I have become a major, and update the details provided herein in your records.

2. Folio No(s).

1. 2. 3.
4. 5. 6.
7. 8. 9.

3. Contact details of the Applicant (Mandatory)

Mobile No. Tel. No. STD

Mobile No. provided pertains to ☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Sibling ☐ Dependent Parents

Email Address (Email ID in CAPITAL letters only)

Mobile No. provided pertains to ☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Sibling ☐ Dependent Parents

4. Address of the Applicant (Please note that address will be updated as per applicant's address on KYC form / KYC Registration Agency records)

Address Line 1

Address Line 2

City State PIN

5. Overseas address (Overseas address is mandatory for NRI applicants in addition to mailing address in India)

Landmark City State Country (Mandatory)
OR ☐ PO Box No. Country (Mandatory)

6. Bank Account Details of the Major (Mandatory)

Please attach & tick ✓ ☐ Cancelled cheque with applicant's name printed OR ☐ Applicant's Bank Statement / Passbook

Name of Bank A/C type (✓) ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

Account No. Bank Branch

Branch City Pin IFSC Code* MICR Code**
(*11 Character Code) (**9 - digit number)

7. Additional KYC information (Please tick ✓ whichever is applicable) (Mandatory)

Occupation:

☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist
☐ Retired ☐ Home Maker ☐ Student ☐ Forex Dealer ☐ Other (Please specify)

The applicant is ☐ A Politically Exposed Person ☐ Related to a Politically Exposed Person ☐ Neither (Not applicable)

Gross Annual Income (₹) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs-1Crore ☐ > 1Crore

Mutual Fund Investments Are Subject To Market Risks, Read All Scheme Related Documents Carefully.

8. FATCA and CRS information (Mandatory)

Country of Birth _____ Place of Birth _____ Nationality _____

Are you a tax resident of any country other than India? ☐ Yes ☐ No

If Yes, please mention all the countries in which you are resident for tax purposes and the associated Taxpayer Identification Number and its identification type in the column below

Country	Tax-Payer Identification Number	Identification Type

9. Nomination (Please tick (✓) one of the options below) (Mandatory)

☐ I wish to make a nomination and hereby nominate the person/s more particularly described in the **Nomination Form** enclosed herewith, to receive the Units held in my folio in the event of my death.

☐ I **DO NOT** wish to make a nomination

FOR NOMINATION : I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

10. Declaration and Signature of the Applicant

I have enclosed herewith all the relevant / required documents as indicated below.

I confirm that the information provided above is true and correct to the best of my knowledge and belief.

I undertake to keep LIC Mutual Fund / its AMC / RTA informed about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required by the AMC / RTA.

I hereby authorize LIC Mutual Fund and its AMC / RTA to share / disclose any of the information provided by me, including any changes in respect thereof to the Mutual Fund's Bankers or my Distributor / Investment Advisor and to such other service providers as may be necessary for any operational reason, including to verify / validate my bank account details. I also authorize the Mutual Fund & its AMC / RTA to provide / share any of the information provided by me including any holdings in the Mutual Fund to any governmental or statutory or judicial authorities / agencies as required by law without any obligation of informing me of the same.

My signature here in below has been attested by ☐ the Guardian on record ☐ My bankers ☐ Notary / JMFC

Place : _____ Date : _____	SIGN HERE Signature of Applicant
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11. Signature Attestation

(To be attested by the Guardian (as registered in the folio of the applicant who has become a major)

or a Notary or Judicial Magistrate First Class (JMFC)@ OR / Banker's Attestation of Signature

Name of the Guardian / Stamp of the Notary / JMFC	The above signature of the applicant duly attested by me
	_____ Signature

@ Alternatively, please attach banker's certificate / attestation in the prescribed form as per Annexure1

12. Documents enclosed

☐ Copy of PAN Card of applicant

☐ KYC Acknowledgment OR ☐ KYC form of applicant

☐ Cancelled cheque with applicant's name pre-printed OR ☐ Application's Bank Statement/Passbook

☐ Nomination Form

☐ Annexure 1 - Bankers Attestation of signature of the applicant

Nomination for Mutual Fund Folios



Please read the instructions carefully before filling up this form

Folio No.

1. I / We wish to make nomination [as per details given below]

(You can nominate upto 3 persons)

Sr. No.	Details	1 st Nominee	2 nd Nominee	3 rd Nominee
1	Name of the nominee*			
2	Relationship with the Applicant			
3	Date of Birth (to be provided in case the nominee is a minor)			

2. I want the following nomination details to be printed in the account / holding statements (tick one of the boxes below):

☐ Name of the Nominee(s) ☐ Whether nomination given: Yes / No (not name of the nominee)

3. Optional details of nomination:

Sr. No.	Details	1 st Nominee	2 nd Nominee	3 rd Nominee
a	% Share of nominee** (equal share if % is not specified)			
b	Mobile of nominee*** (Please note that the DP / MF RTA will be able to reach out to your nominee if you provide the contact details)			
c	Email ID of nominee*** (Please note that the DP / MF RTA will be able to reach out to your nominee if you provide the contact details)			
d	Specify Personal identifier document - Aadhaar (last four digit) - PAN - Driving Licence - Passport ***			

**Any odd lot after division shall be transferred to the first nominee mentioned in the form.

*** The aforesaid details shall be optionally provided for the Guardian, in case of nominee is a minor.

4. Signatures & Declarations

I/We have read and understood the instructions on nomination given below/overleaf and I/We hereby undertake to abide by the same. The instructions contained herein supercedes all previous nominations made by me/us in respect of the folio(s) mentioned above.

Name(s) of holder(s)	Name	Signature as per mode of holding / Thumb Impression	Signature of Two Witness	Name of witness & Address (Wherever applicable)*
Sole / First Holder (Mr./Ms.)		⊗	⊗	
Second Holder (Mr./Ms.)		⊗	⊗	
Third Holder (Mr./Ms.)		⊗	⊗	

*(Required if the account holder uses a thumb impression instead of a wet signature)

****If nomination is submitted:

- **Online:** validate through Digital Signature Certificate or Aadhaar-based e-sign or by using any other e-sign facility recognized under Information Technology Act, 2000 or two factor authentication (2FA) in which one of the factors shall be a One-Time Password (OTP) sent to the registered mobile number and email address.
- **Physical / offline:** wet signature (signature of witness shall not be required). However, if thumb impression (instead of wet signature) is affixed, then the same shall be witnessed by two persons and details of such witnesses (name and address) shall be duly provided in this form.

Note:

- Investors can provide, changes or cancel nominations any number of times.
- The signature of all the joint holders shall be obtained for providing / changing nomination regardless of mode of operations.
- This nomination shall supersede any prior nomination made by the investor(s), if any.
- Investors can change nomination any number of times.
- Investor have the right to receive acknowledgement of the nomination form for each instance of nomination / subsequent change.

- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
- Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unit holder cannot nominate.
- Nomination is not allowed in a folio of a Minor unit holder.
- If the units are held jointly (i.e., in case of multiple unit holders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis).
- Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
- In case of nomination is made for LICMF Unit Linked Insurance Scheme only one nomination can be registered.
- Every new nomination for a folio/account shall overwrite the existing nomination, if any.
- Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
- Nomination shall stand rescinded upon the transfer of units.
- The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
- In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
- Nomination ensures all rights and/or amount(s) payable in respect of the holdings in Schemes of LIC Mutual Fund would vest in and be transferred to the nominee upon death of the Unit holder. The nominee receives the units only as agent and trustee for the legal heirs or legatees as the case may be. Investors should opt for the nomination facility to avoid hassles and inconveniences in case of unforeseen events in future.
- Every new nomination for a folio/account will overwrite the existing nomination. Nomination will be subject to the provisions of the Scheme Information Document
- Nomination shall be mandatory for all new folio's/accounts except jointly held folio's. However, investors who do not wish to nominate must sign separately confirming their non intention to nominate. In case nomination/non-intention to nominate is not provided by Individual (with sole holding), the application is liable to be rejected.
- Karta of Hindu undivided family, holder of Power of Attorney cannot nominate.
- Nomination shall not be allowed in a folio held on behalf of a minor.
- Minor(s) can be nominated and in that event, the name, address and signature of the guardian of the minor nominee(s) shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A nonresident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- Nomination in respect of the units stands rescinded upon the transfer of units. Transfer of units in favour of Nominee(s) shall be valid discharge by the AMC against the legal heirs.
- On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee(s).
- Upon demise of one of the nominees prior to the demise of the investor and if no change is made in the nomination, then the assets shall be distributed to the surviving nominees on pro rata basis upon demise of the investor, as illustrated in Nomination Form.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0%	0%	0%
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
TOTAL	100%	-	40%	60%	100%

Date

D	D	M	M	Y	Y	Y	Y
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To
LIC Mutual Fund

Declaration of Opting out of Nomination

I / We, the undersigned applicant(s)/unitholder(s) hereby confirm that I / we do not wish to appoint any nominee(s) in respect of the mutual fund application(s) / units held in my / our mutual fund folio(s) mentioned hereinbelow :

Folio Number(s)		

I understand that,

(i) the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise. (ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s). (iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework.

I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

Name(s) of holder(s)	Name	Signature as per mode of holding / Thumb Impression	Signature of Two Witness	Name of witness & Address (Wherever applicable)*
Sole / First Holder (Mr./Ms.)		⊗	⊗	
Second Holder (Mr./Ms.)		⊗	⊗	
Third Holder (Mr./Ms.)		⊗	⊗	

*(Signature of witness, along with name and address are required, if the account holder affixes thumb impression instead of signature.)

Date	D	D	M	M	Y	Y	Y	Y
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