

Template for disclosing actions taken against MFDs related to misconduct by AMC's on their website (Apr '26 to Jun '26)	
Particulars	Inputs to be filled by AMC
1. Name of the Mutual Fund	LIC Mutual Fund
2. ARN of the MFD	Not Applicable
3. Name of the MFD	Not Applicable
4. Name of the employee of the MFD involved	Not Applicable
5. EUIN of the employee of the MFD involved	Not Applicable
6. Source of the complaint (to be selected from drop down menu)	Not Applicable 1) AMC's Internal Monitoring & Surveillance mechanism 2) Unitholder 3) Unitholder's relative / representative 4) SEBI 5) AMFI 6) Another ARN holder 7) Social Media 8) Newspaper 9) Others (Please specify)
7. Nature of Mis-Selling observed by the AMC (to be selected from drop down menu)	Not Applicable 1) Fraud / Forgery / Cheating 2) Misappropriation of investor's Funds 3) Misrepresentation of Scheme Features 4) Inadequate Risk Disclosure 5) Incorrect Suitability Assessment 6) Unauthorized Switch Transaction 7) Unauthorized Redemption Transaction 8) Unauthorized Change of ARN Code 9) Non-Disclosure of Charges & Lock-In Period 10) False Assurances / Guaranteed Returns Claim 11) Churning (Excessive Switching for Higher Commissions) 12) Using non-approved marketing material/ scheme performance disclosure 13) Others (Please specify)
8. Summary of the incident	Not Applicable
9. Amount of investment Involved in the incident	Not Applicable
10. Corrective Action taken by the AMC prevent recurrence	Not Applicable
11. Code of Conduct Violation (other than mis-selling) if any	Not Applicable
12. Action taken by the AMC against the errant MFD	Not Applicable