# LIC NOMURA MUTUAL FUND

Registered Office: Industrial Assurance Building, 4th Floor, Opp. Churchgate Station, Mumbai - 400 020, Tel: 022 - 22851661

# HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013
## (Pursuant to regulation 59A of SEBI Mutual Funds Regulations 1996)

## LIC NOMURA MF UNIT LINKED INSURANCE SCHEME

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry/Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| ITC Ltd. | Consumer Non Durables | 320,456 | 1,090.83 | 8.11% |
| Infosys Ltd. | Software | 28,488 | 858.34 | 6.38% |
| Reliance Industries Ltd. | Petroleum Products | 103,009 | 847.15 | 6.30% |
| Housing Development Finance Corporation Ltd. | Finance | 86,313 | 659.65 | 4.90% |
| HDFC Bank Ltd. | Banks | 98,415 | 583.65 | 4.34% |
| ICICI Bank Ltd. | Banks | 60,679 | 536.19 | 3.98% |
| Tata Consultancy Services Ltd. | Software | 27,097 | 522.38 | 3.88% |
| Bharti Airtel Ltd. | Telecom - Services | 152,708 | 486.60 | 3.62% |
| Dr. Reddy's Laboratories Ltd. | Pharmaceuticals | 18,700 | 445.28 | 3.31% |
| Tata Motors Ltd. | Auto | 96,575 | 321.11 | 2.39% |
| Larsen & Toubro Ltd. | Construction Project | 33,531 | 264.48 | 1.97% |
| Kotak Mahindra Bank Ltd. | Banks | 35,617 | 241.52 | 1.79% |
| Mahindra & Mahindra Ltd. | Auto | 27,774 | 229.82 | 1.71% |
| Tech Mahindra Ltd. | Software | 17,000 | 227.08 | 1.69% |
| Asian Paints Ltd. | Consumer Non Durables | 47,232 | 216.91 | 1.61% |
| Cairn India Ltd. | Oil | 62,704 | 199.93 | 1.49% |
| Bajaj Auto Ltd. | Auto | 9,079 | 180.44 | 1.34% |
| Sun Pharmaceuticals Industries Ltd. | Pharmaceuticals | 28,000 | 166.12 | 1.23% |
| Oil & Natural Gas Corporation Ltd. | Oil | 44,771 | 119.92 | 0.89% |
| Hero MotoCorp Ltd. | Auto | 5,556 | 111.63 | 0.83% |
| Axis Bank Ltd. | Banks | 11,001 | 110.87 | 0.82% |
| Cipla Ltd. | Pharmaceuticals | 24,099 | 104.23 | 0.77% |
| Ultratech Cement Ltd. | Cement | 5,736 | 103.78 | 0.77% |
| Maruti Suzuki India Ltd. | Auto | 7,618 | 103.49 | 0.77% |
| Indusind Bank Ltd. | Banks | 25,182 | 92.86 | 0.69% |
| State Bank of India | Banks | 4,553 | 73.53 | 0.55% |
| Bharat Petroleum Corporation Ltd. | Petroleum Products | 17,000 | 56.31 | 0.42% |
| Lupin Ltd. | Pharmaceuticals | 6,197 | 53.04 | 0.39% |
| Grasim Industries Ltd. | Cement | 1,637 | 43.32 | 0.32% |
| ACC Ltd. | Cement | 2,560 | 28.47 | 0.21% |
| HCL Technologies Ltd. | Software | 2,500 | 27.18 | 0.20% |
| Tata Global Beverages Ltd. | Consumer Non Durables | 7,823 | 11.25 | 0.08% |
| **Sub Total** | | | **9,117.35** | **67.76%** |
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **9,117.35** | **67.76%** |
| **Debt Instruments** | | | | |
| **(a) Listed / awaiting listing on Stock Exchange** | | | | |
| 9.25% Dr. Reddy's Laboratories Ltd. (24/03/2014) ** | ICRA AA+ | 30,000 | 1.49 | 0.01% |
| **Sub Total** | | | **1.49** | **0.01%** |
| **(b) Privately placed / Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **1.49** | **0.01%** |
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Andhra Bank (27/12/2013) ** # | CARE A1+ | 900,000 | 879.75 | 6.54% |
| IDBI Bank Ltd. (11/07/2014) ** # | CRISIL A1+ | 400,000 | 372.26 | 2.77% |
| Oriental Bank of Commerce (22/09/2014) ** # | CRISIL A1+ | 400,000 | 365.71 | 2.72% |
| United Bank of India (16/06/2014) ** # | ICRA A1+ | 75,000 | 70.17 | 0.52% |
| **Sub Total** | | | **1,687.89** | **12.54%** |
| **Commercial Paper** | | | | |
| Infrastructure Development Finance Company Ltd. (10/03/2014) ** # | ICRA A1+ | 1,150,000 | 1,103.54 | 8.20% |
| Housing Development Finance Corporation Ltd. (08/10/2013) ** # | ICRA A1+ | 750,000 | 748.31 | 5.56% |
| IL&FS Financial Services Ltd. (17/09/2014) ** # | CARE A1+ | 650,000 | 595.00 | 4.42% |
| Godrej Industries Ltd. (06/12/2013) ** # | ICRA A1+ | 345,000 | 339.08 | 2.52% |
| **Sub Total** | | | **2,785.93** | **20.70%** |
| **Total** | | | **4,473.81** | **33.25%** |
| **Others** | | | | |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 113.49 | 0.84% |
| **Sub Total** | | | **113.49** | **0.84%** |
| **Total** | | | **113.49** | **0.84%** |
| **Net Receivables / (Payables)** | | | **(250.40)** | **(1.86)%** |
| **GRAND TOTAL** | | | **13,455.76** | **100.00%** |

** Non Traded Security for The Day
\# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Plan Dividend Reinvestment Option | 9.9727 | 10.2603 |
| Direct Plan Dividend Reinvestment Option | 9.9733 | 10.2810 |

* Opening NAV is for March 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days
i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - 0.51 (Crs)
ii) Percentage of NPA to NAV - 0.38%
iii) Dividend declared during the half year ended 30 September 2013 - Nil
iv) Portfolio Turnover Ratio - 0.41 times
vi) No Bonus declared during the period ended 30 September 2013
vii) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
viii) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
ix) Funds parked in short term deposit as on 30 September, 2013- Nil
x) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF BALANCED FUND

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry/Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| ITC Ltd. | Consumer Non Durables | 50,600 | 172.24 | 10.39% |
| Infosys Ltd. | Software | 3,700 | 111.48 | 6.72% |
| HDFC Bank Ltd. | Banks | 18,520 | 109.83 | 6.62% |
| Reliance Industries Ltd. | Petroleum Products | 13,244 | 108.92 | 6.57% |
| ICICI Bank Ltd. | Banks | 9,500 | 83.95 | 5.06% |
| Housing Development Finance Corporation Ltd. | Finance | 9,900 | 75.66 | 4.56% |
| Tata Consultancy Services Ltd. | Software | 3,000 | 57.83 | 3.49% |
| Mahindra & Mahindra Ltd. | Auto | 6,000 | 49.65 | 2.99% |
| Sun Pharmaceuticals Industries Ltd. | Pharmaceuticals | 7,000 | 41.53 | 2.50% |
| Tata Motors Ltd. | Auto | 10,000 | 33.25 | 2.01% |
| HCL Technologies Ltd. | Software | 2,689 | 29.23 | 1.76% |

## LIC NOMURA MF BALANCED FUND (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry/Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| Dr. Reddy's Laboratories Ltd. | Pharmaceuticals | 1,200 | 28.57 | 1.72% |
| Kotak Mahindra Bank Ltd. | Banks | 3,800 | 25.77 | 1.55% |
| Cipla Ltd. | Pharmaceuticals | 5,500 | 23.79 | 1.43% |
| Asian Paints Ltd. | Consumer Non Durables | 4,250 | 19.52 | 1.18% |
| Ultratech Cement Ltd. | Cement | 1,050 | 19.00 | 1.15% |
| Lupin Ltd. | Pharmaceuticals | 2,000 | 17.12 | 1.03% |
| Aditya Birla Nuvo Ltd. | Services | 1,354 | 16.82 | 1.01% |
| Axis Bank Ltd. | Banks | 1,450 | 14.61 | 0.88% |
| Maruti Suzuki India Ltd. | Auto | 1,025 | 13.93 | 0.84% |
| Bajaj Auto Ltd. | Auto | 650 | 12.92 | 0.78% |
| Bharti Airtel Ltd. | Telecom - Services | 3,900 | 12.43 | 0.75% |
| Hero MotoCorp Ltd. | Auto | 400 | 8.04 | 0.48% |
| Grasim Industries Ltd. | Cement | 296 | 7.83 | 0.47% |
| ACC Ltd. | Cement | 300 | 3.34 | 0.20% |
| Tech Mahindra Ltd. | Software | 202 | 2.70 | 0.16% |
| Cairn India Ltd. | Oil | 700 | 2.23 | 0.13% |
| Indusind Bank Ltd. | Banks | 250 | 0.92 | 0.06% |
| **Sub Total** | | | **1,103.10** | **66.52%** |
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **1,103.10** | **66.52%** |
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| United Bank of India (16/06/2014) ** # | ICRA A1+ | 100,000 | 93.55 | 5.64% |
| **Sub Total** | | | **93.55** | **5.64%** |
| **Commercial Paper** | | | | |
| Housing Development Finance Corporation Ltd. (08/10/2013) ** # | ICRA A1+ | 175,000 | 174.61 | 10.53% |
| Infrastructure Development Finance Company Ltd. (22/11/2013) ** # | ICRA A1+ | 100,000 | 98.63 | 5.95% |
| Infrastructure Development Finance Company Ltd. (10/03/2014) ** # | ICRA A1+ | 100,000 | 95.96 | 5.79% |
| **Sub Total** | | | **369.19** | **22.26%** |
| **Total** | | | **462.75** | **27.91%** |
| **Others** | | | | |
| **Mutual Fund Units** | | | | |
| LIC Nomura MF Liquid Fund-Direct Plan-Gr Option | | 3,525 | 78.37 | 4.73% |
| **Sub Total** | | | **78.37** | **4.73%** |
| **Total** | | | **78.37** | **4.73%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 32.37 | 1.95% |
| **Sub Total** | | | **32.37** | **1.95%** |
| **Total** | | | **32.37** | **1.95%** |
| **Net Receivables / (Payables)** | | | **(18.39)** | **(1.11)%** |
| **GRAND TOTAL** | | | **1,658.20** | **100.00%** |

** Non Traded Security for The Day
\# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 11.0574 | 11.4565 |
| Regular Growth Option | 58.4192 | 60.5309 |
| Direct Dividend Option | 11.0717 | 11.5026 |
| Direct Growth Option | 58.4848 | 60.7305 |

* Opening NAV is for March 28 2013 as 29th, 30th & 31st March 2013 were non-business days
i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - 0.60 (Crs)
ii) Percentage of NPA to NAV - 3.62%
iii) Portfolio Turnover Ratio - 0.61 times
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013- Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF INTERVAL QUARTERLY PLAN SERIES 2

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Axis Bank Ltd. (18/11/2013) ** # | CRISIL A1+ | 2,500,000 | 2,466.18 | 23.48% |
| IDBI Bank Ltd. (18/11/2013) ** # | CRISIL A1+ | 2,500,000 | 2,466.18 | 23.48% |
| **Sub Total** | | | **4,932.35** | **46.97%** |
| **Commercial Paper** | | | | |
| Mahindra & Mahindra Financial Services Ltd. (18/11/2013) ** # | CRISIL A1+ | 3,000,000 | 2,958.10 | 28.17% |
| Piramal Enterprises Ltd. (18/11/2013) ** # | ICRA A1+ | 2,500,000 | 2,464.15 | 23.47% |
| **Sub Total** | | | **5,422.25** | **51.63%** |
| **Total** | | | **10,354.60** | **98.60%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 148.73 | 1.42% |
| **Sub Total** | | | **148.73** | **1.42%** |
| **Total** | | | **148.73** | **1.42%** |
| **Net Receivables / (Payables)** | | | **-2.05** | **(0.02)%** |
| **GRAND TOTAL** | | | **10,501.28** | **100.00%** |

** Non Traded Security for The Day
\# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Quarterly Dividend Option | 10.1041 | 10.1474 |
| Regular Growth Option | 14.5262 | 15.2186 |
| Direct Quarterly Dividend Option | 10.1041 | 10.1479 |
| Direct Growth Option | 14.5261 | 15.2215 |

* Opening NAV is for March 28 2013 as 29th, 30th & 31st March 2013 were non-business days
i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 48 days
iii) Dividend declared during the half year ended 30 September, 2013 under the dividend option of the Scheme is as follows

| Option | Month | Rate of Dividend Per Unit | |
|---|---|---|---|
| | | Individual/HUF | Others |
| Regular Quarterly Dividend Option | May-13 | 0.2018 | 0.1720 |
| Regular Quarterly Dividend Option | Aug-13 | 0.1535 | 0.1470 |
| Direct Quarterly Dividend | May-13 | 0.2019 | 0.1720 |
| Direct Quarterly Dividend | Aug-13 | 0.1542 | 0.1477 |

iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013- Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 56

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Debt Instruments** | | | | |
| **(a) Listed / awaiting listing on Stock Exchange** | | | | |
| 9.64% Power Finance Corporation Ltd. (29/06/2014) ** | CRISIL AAA | 2,500,000 | 2,495.15 | 12.88% |
| 9.50% National Bank For Agriculture and Rural Development (11/07/2014) ** | CRISIL AAA | 2,500,000 | 2,493.03 | 12.87% |
| 10.1493% L&T Finance Ltd. (13/06/2014) ** | ICRA AA+ | 2,500,000 | 2,485.83 | 12.83% |
| Infrastructure Development Finance Company Ltd. (15/07/2014) (ZCB) ** | ICRA AAA | 2,500,000 | 2,323.17 | 11.99% |
| Kotak Mahindra Prime Ltd. (15/07/2014) (ZCB) ** | CRISIL AA+ | 2,500,000 | 2,307.35 | 11.91% |
| Dewan Housing Finance Corporation Ltd. (15/07/2014) (ZCB) ** | CARE AA+ | 1,000,000 | 1,064.36 | 5.49% |
| 10.25% EID Parry India Ltd. (11/07/2014) ** | CRISIL AA | 1,000,000 | 1,001.14 | 5.17% |
| 8.64% Power Grid Corporation of India Ltd. (08/07/2014) ** | CRISIL AAA | 500,000 | 495.59 | 2.56% |
| **Sub Total** | | | **14,665.62** | **75.68%** |
| **(b) Privately placed / Unlisted** | | | | |
| 10.50% Nirma Ltd. (30/05/2014) ** # | CRISIL AA | 2,500,000 | 2,501.54 | 12.91% |
| 10.05% Tata Sons Ltd. (16/06/2014) ** # | CRISIL AAA | 500,000 | 500.28 | 2.58% |
| **Sub Total** | | | **3,001.82** | **15.49%** |
| **Total** | | | **17,667.43** | **91.17%** |
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| IDBI Bank Ltd. (11/07/2014) ** # | CRISIL A1+ | 500,000 | 465.32 | 2.40% |
| State Bank of Hyderabad (05/05/2014) ** # | ICRA A1+ | 300,000 | 283.83 | 1.46% |
| Kotak Mahindra Bank Ltd. (07/04/2014) ** # | CRISIL A1+ | 200,000 | 190.55 | 0.98% |
| **Sub Total** | | | **939.70** | **4.85%** |
| **Total** | | | **939.70** | **4.85%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 174.91 | 0.90% |
| **Sub Total** | | | **174.91** | **0.90%** |
| **Total** | | | **174.91** | **0.90%** |
| **Net Receivables / (Payables)** | | | **596.33** | **3.08%** |
| **GRAND TOTAL** | | | **19,378.37** | **100.00%** |

**ZCB - Zero Coupon Bond**
** Non Traded Security for The Day
\# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 10.1615 | 10.5323 |
| Regular Growth Option | 10.1615 | 10.5323 |
| Direct Dividend Option | 10.1673 | 10.5545 |
| Direct Growth Option | 10.1673 | 10.5545 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days
i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 261 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013- Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 57

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Debt Instruments** | | | | |
| **(a) Listed / awaiting listing on Stock Exchange** | | | | |
| Reliance Capital Ltd. (20/01/2015) (ZCB) ** | CARE AAA | 590,000 | 516.81 | 14.30% |
| Kotak Mahindra Prime Ltd. (20/01/2015) (ZCB) ** | CRISIL AA+ | 590,000 | 516.20 | 14.28% |
| 9.55% Power Finance Corporation Ltd. (13/01/2015) ** | CRISIL AAA | 400,000 | 398.73 | 11.03% |
| 9.40% National Housing Bank (10/01/2015) ** | CRISIL AAA | 400,000 | 398.06 | 11.01% |
| 9.38% National Bank For Agriculture and Rural Development (16/01/2015) ** | CRISIL AAA | 400,000 | 397.92 | 11.01% |
| 9.50% United Phosphorus Ltd. (12/01/2015) ** | CARE AA+ | 400,000 | 397.78 | 11.01% |
| 9.05% Infrastructure Development Finance Company Ltd. (26/12/2014) ** | ICRA AAA | 400,000 | 396.65 | 10.97% |
| Dewan Housing Finance Corporation Ltd. (20/01/2015) (ZCB) ** | CARE AA+ | 300,000 | 319.54 | 8.84% |
| **Sub Total** | | | **3,341.69** | **92.46%** |
| **(b) Privately placed / Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **3,341.69** | **92.46%** |
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| UCO Bank (05/03/2014) ** # | CRISIL A1+ | 100,000 | 96.08 | 2.66% |
| **Sub Total** | | | **96.08** | **2.66%** |
| **Total** | | | **96.08** | **2.66%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 63.64 | 1.76% |
| **Sub Total** | | | **63.64** | **1.76%** |
| **Total** | | | **63.64** | **1.76%** |
| **Net Receivables / (Payables)** | | | **112.93** | **3.12%** |
| **GRAND TOTAL** | | | **3,614.34** | **100.00%** |

**ZCB - Zero Coupon Bond**
** Non Traded Security for The Day
\# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 10.1828 | 10.5065 |
| Regular Growth Option | 10.1828 | 10.5064 |
| Direct Dividend Option | 10.1883 | 10.5282 |
| Direct Growth Option | 10.1883 | 10.5283 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days
i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 439 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013- Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

# LIC NOMURA MUTUAL FUND

Registered Office: Industrial Assurance Building, 4th Floor, Opp. Churchgate Station, Mumbai - 400 020, Tel: 022 - 22851661

# HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013
## (Pursuant to regulation 59A of SEBI Mutual Funds Regulations 1996)

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 54

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Axis Bank Ltd. (27/02/2014) ** # | CRISIL A1+ | 4,500,000 | 4,330.21 | 22.51% |
| ICICI Bank Ltd. (27/02/2014) ** # | ICRA A1+ | 4,500,000 | 4,330.21 | 22.51% |
| South Indian Bank Ltd. (26/02/2014) ** # | CARE A1+ | 4,500,000 | 4,328.69 | 22.50% |
| Karur Vysya Bank Ltd. (27/02/2014) ** # | CRISIL A1+ | 4,500,000 | 4,327.58 | 22.50% |
| UCO Bank (05/03/2014) ** # | CRISIL A1+ | 1,900,000 | 1,825.54 | 9.49% |
| **Sub Total** | | | **19,142.24** | **99.51%** |
| **Total** | | | **19,142.24** | **99.51%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 102.33 | 0.53% |
| **Sub Total** | | | **102.33** | **0.53%** |
| **Total** | | | **102.33** | **0.53%** |
| **Net Receivables / (Payables)** | | | **(7.12)** | **(0.04)%** |
| **GRAND TOTAL** | | | **19,237.45** | **100.00%** |

** Non Traded Security for The Day

# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 10.1187 | 10.5226 |
| Regular Growth Option | 10.1167 | 10.5205 |
| Direct Dividend Option | 10.1198 | 10.5288 |
| Direct Growth Option | 10.1196 | 10.5290 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 150 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF RGESS FUND SERIES 1

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| ICICI Bank Ltd. | Banks | 11,300 | 99.85 | 6.51% |
| Wipro Ltd. | Software | 20,578 | 97.34 | 6.35% |
| Infosys Ltd. | Software | 3,200 | 96.42 | 6.29% |
| Reliance Industries Ltd. | Petroleum Products | 10,600 | 87.17 | 5.69% |
| ITC Ltd. | Consumer Non Durables | 22,300 | 75.91 | 4.95% |
| State Bank of India | Banks | 4,300 | 69.44 | 4.53% |
| Larsen & Toubro Ltd. | Construction Project | 7,425 | 58.56 | 3.82% |
| HDFC Bank Ltd. | Banks | 9,500 | 56.34 | 3.68% |
| Bosch Ltd. | Auto Ancillaries | 600 | 54.29 | 3.54% |
| Bharti Airtel Ltd. | Telecom - Services | 15,900 | 50.67 | 3.31% |
| Tata Power Company Ltd. | Power | 55,600 | 45.12 | 2.94% |
| Bajaj Auto Ltd. | Auto | 2,200 | 43.72 | 2.85% |
| Crompton Greaves Ltd. | Industrial Capital Goods | 50,100 | 43.11 | 2.81% |
| Ranbaxy Laboratories Ltd. | Pharmaceuticals | 13,000 | 42.94 | 2.80% |
| Cipla Ltd. | Pharmaceuticals | 9,700 | 41.95 | 2.74% |
| Zee Entertainment Enterprises Ltd. | Media & Entertainment | 18,300 | 41.82 | 2.73% |
| Colgate Palmolive (India) Ltd. | Consumer Non Durables | 3,300 | 40.92 | 2.67% |
| Oil & Natural Gas Corporation Ltd. | Oil | 14,200 | 38.03 | 2.48% |
| Hindustan Zinc Ltd. | Non - Ferrous Metals | 25,900 | 34.59 | 2.26% |
| Petronet LNG Ltd. | Gas | 27,600 | 32.76 | 2.14% |
| NMDC Ltd. | Minerals/Mining | 25,800 | 30.99 | 2.02% |
| Container Corporation of India Ltd. | Transportation | 4,200 | 30.12 | 1.96% |
| Bharat Petroleum Corporation Ltd. | Petroleum Products | 9,000 | 29.81 | 1.94% |
| Coal India Ltd. | Minerals/Mining | 10,100 | 29.74 | 1.94% |
| Power Finance Corporation Ltd. | Finance | 22,400 | 29.32 | 1.91% |
| Tata Motors Ltd. | Auto | 8,800 | 29.26 | 1.91% |
| Bank of India | Banks | 18,400 | 29.06 | 1.90% |
| Tata Steel Ltd. | Ferrous Metals | 9,900 | 26.88 | 1.75% |
| Canara Bank | Banks | 11,500 | 25.47 | 1.66% |
| NTPC Ltd. | Power | 15,400 | 22.71 | 1.48% |
| Axis Bank Ltd. | Banks | 1,900 | 19.15 | 1.25% |
| Lupin Ltd. | Pharmaceuticals | 1,700 | 14.55 | 0.95% |
| Dr. Reddy's Laboratories Ltd. | Pharmaceuticals | 600 | 14.29 | 0.93% |
| Maruti Suzuki India Ltd. | Auto | 575 | 7.81 | 0.51% |
| **Sub Total** | | | **1,490.12** | **97.21%** |
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **1,490.12** | **97.21%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 46.17 | 3.01% |
| **Sub Total** | | | **46.17** | **3.01%** |
| **Total** | | | **46.17** | **3.01%** |
| **Net Receivables / (Payables)** | | | **(3.45)** | **(0.22)%** |
| **GRAND TOTAL** | | | **1,532.85** | **100.00%** |

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 10.0169 | 9.9448 |
| Regular Growth Option | 10.0169 | 9.9448 |
| Direct Dividend Option | 10.0184 | 9.9716 |
| Direct Growth Option | 10.0184 | 9.9716 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Dividend declared during the half year ended 30 September, 2013 - Nil
iii) No Bonus declared during the period ended 30 September, 2013
iv) Portfolio Turnover Ratio - 0.67 times
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013- Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 58

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| ICICI Bank Ltd. (24/02/2014) ** # | ICRA A1+ | 2,500,000 | 2,407.50 | 22.36% |

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 58 (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| Karur Vysya Bank Ltd. (24/02/2014) ** # | CRISIL A1+ | 2,500,000 | 2,406.07 | 22.34% |
| Allahabad Bank (04/03/2014) ** # | ICRA A1+ | 2,500,000 | 2,402.63 | 22.31% |
| Central Bank of India (14/03/2014) ** # | CARE A1+ | 2,500,000 | 2,395.82 | 22.25% |
| Vijaya Bank (20/03/2014) ** # | CARE A1+ | 1,000,000 | 957.18 | 8.89% |
| **Sub Total** | | | **10,569.21** | **98.16%** |
| **Total** | | | **10,569.21** | **98.16%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 206.95 | 1.92% |
| **Sub Total** | | | **206.95** | **1.92%** |
| **Total** | | | **206.95** | **1.92%** |
| **Net Receivables / (Payables)** | | | **(8.33)** | **(0.08)%** |
| **GRAND TOTAL** | | | **10,767.83** | **100.00%** |

** Non Traded Security for The Day

# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 10.0397 | 10.4316 |
| Regular Growth Option | 10.0397 | 10.4316 |
| Direct Dividend Option | 10.0403 | 10.4402 |
| Direct Growth Option | 10.0403 | 10.4402 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 152 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 59

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Yes Bank Ltd. (10/03/2014) ** # | ICRA A1+ | 500,000 | 479.65 | 22.36% |
| Karur Vysya Bank Ltd. (10/03/2014) ** # | CRISIL A1+ | 500,000 | 479.49 | 22.35% |
| IDBI Bank Ltd. (20/03/2014) ** # | CRISIL A1+ | 500,000 | 478.59 | 22.31% |
| South Indian Bank Ltd. (27/03/2014) ** # | CARE A1+ | 500,000 | 477.35 | 22.25% |
| Kotak Mahindra Bank Ltd. (07/04/2014) ** # | CRISIL A1+ | 200,000 | 190.55 | 8.88% |
| **Sub Total** | | | **2,105.63** | **98.15%** |
| **Total** | | | **2,105.63** | **98.15%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 40.35 | 1.88% |
| **Sub Total** | | | **40.35** | **1.88%** |
| **Total** | | | **40.35** | **1.88%** |
| **Net Receivables / (Payables)** | | | **(0.61)** | **(0.03)%** |
| **GRAND TOTAL** | | | **2,145.36** | **100.00%** |

** Non Traded Security for The Day

# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 10.0060 | 10.4003 |
| Regular Growth Option | 10.0060 | 10.4003 |
| Direct Growth Option | 10.0062 | 10.4031 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 167 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 60

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER, 30,2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Karur Vysya Bank Ltd. (10/03/2014) ** # | CRISIL A1+ | 2,500,000 | 2,397.43 | 18.10% |
| South Indian Bank Ltd. (27/03/2014) ** # | CARE A1+ | 2,500,000 | 2,386.73 | 18.02% |
| Yes Bank Ltd. (10/03/2014) ** # | ICRA A1+ | 1,000,000 | 959.30 | 7.24% |
| Canara Bank (24/03/2014) ** # | CRISIL A1+ | 800,000 | 764.97 | 5.78% |
| IDBI Bank Ltd. (20/03/2014) ** # | CRISIL A1+ | 500,000 | 478.59 | 3.61% |
| **Sub Total** | | | **6,987.03** | **52.76%** |
| **Commercial Paper** | | | | |
| Reliance Capital Ltd. (03/04/2014) ** # | CRISIL A1+ | 2,500,000 | 2,375.76 | 17.94% |
| Bhushan Steel Ltd. (16/04/2014) ** # | CARE A1+ | 2,500,000 | 2,373.28 | 17.92% |
| Sundaram BNP Paribas Home Fina Ltd. (10/04/2014) ** # | CRISIL A1+ | 1,200,000 | 1,137.02 | 8.59% |
| **Sub Total** | | | **5,886.06** | **44.45%** |
| **Total** | | | **12,873.09** | **97.21%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 393.66 | 2.97% |
| **Sub Total** | | | **393.66** | **2.97%** |
| **Total** | | | **393.66** | **2.97%** |
| **Net Receivables / (Payables)** | | | **(24.63)** | **(0.19)%** |
| **GRAND TOTAL** | | | **13,242.12** | **100.00%** |

** Non Traded Security for The Day

# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 10.0058 | 10.3946 |
| Regular Growth Option | 10.0058 | 10.3946 |
| Direct Dividend Option | 10.0067 | 10.4219 |
| Direct Growth Option | 10.0067 | 10.4221 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 174 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013- Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 55

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Oriental Bank of Commerce (21/05/2014) ** # | CRISIL A1+ | 4,000,000 | 3,769.34 | 22.72% |
| Vijaya Bank (30/05/2014) ** # | CARE A1+ | 4,000,000 | 3,760.93 | 22.67% |
| United Bank of India (30/05/2014) ** # | CARE A1+ | 4,000,000 | 3,758.13 | 22.65% |
| HDFC Bank Ltd. (16/05/2014) ** # | CARE A1+ | 3,200,000 | 3,019.22 | 18.20% |
| ICICI Bank Ltd. (30/05/2014) ** # | ICRA A1+ | 2,000,000 | 1,880.46 | 11.33% |
| **Sub Total** | | | **16,188.08** | **97.57%** |
| **Total** | | | **16,188.08** | **97.57%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 409.40 | 2.47% |
| **Sub Total** | | | **409.40** | **2.47%** |
| **Total** | | | **409.40** | **2.47%** |
| **Net Receivables / (Payables)** | | | **-6.41** | **(0.04)%** |
| **GRAND TOTAL** | | | **16,591.07** | **100.00%** |

** Non Traded Security for The Day

# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | N.A. | 10.1885 |
| Regular Growth Option | N.A. | 10.1884 |
| Direct Dividend Option | N.A. | 10.1922 |
| Direct Growth Option | N.A. | 10.1921 |

* Opening NAV as of Mar 28 2013 is not available as scheme launched on May 24 2013

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 232 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 61

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| UCO Bank (18/03/2014) ** # | CRISIL A1+ | 3,000,000 | 2,872.99 | 22.51% |
| Punjab National Bank (25/03/2014) ** # | CARE A1+ | 3,000,000 | 2,867.93 | 22.47% |
| Central Bank of India (25/03/2014) ** # | CARE A1+ | 3,000,000 | 2,866.98 | 22.46% |
| Andhra Bank (24/03/2014) ** # | CARE A1+ | 2,500,000 | 2,389.75 | 18.72% |
| Canara Bank (24/03/2014) ** # | CRISIL A1+ | 1,700,000 | 1,625.57 | 12.73% |
| **Sub Total** | | | **12,623.21** | **98.89%** |
| **Total** | | | **12,623.21** | **98.89%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 145.48 | 1.14% |
| **Sub Total** | | | **145.48** | **1.14%** |
| **Total** | | | **145.48** | **1.14%** |
| **Net Receivables / (Payables)** | | | **(4.07)** | **(0.03)%** |
| **GRAND TOTAL** | | | **12,764.62** | **100.00%** |

** Non Traded Security for The Day

# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Growth Option | 10.0022 | 10.3851 |
| Direct Growth Option | 10.0023 | 10.3877 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 172 days
iii) Dividend declared during the half year ended 30 September, 2013
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 62

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Kotak Mahindra Bank Ltd. (07/04/2014) ** # | CRISIL A1+ | 2,600,000 | 2,477.16 | 22.22% |
| ICICI Bank Ltd. (24/03/2014) ** # | ICRA A1+ | 2,500,000 | 2,390.54 | 21.45% |
| Oriental Bank of Commerce (07/04/2014) ** # | CRISIL A1+ | 2,500,000 | 2,381.89 | 21.37% |
| South Indian Bank Ltd. (07/04/2014) ** # | CARE A1+ | 2,500,000 | 2,378.79 | 21.34% |
| Central Bank of India (25/03/2014) ** # | CARE A1+ | 1,500,000 | 1,433.49 | 12.86% |
| **Sub Total** | | | **11,061.87** | **99.24%** |
| **Total** | | | **11,061.87** | **99.24%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 88.24 | 0.79% |
| **Sub Total** | | | **88.24** | **0.79%** |
| **Total** | | | **88.24** | **0.79%** |
| **Net Receivables / (Payables)** | | | **(3.57)** | **(0.03)%** |
| **GRAND TOTAL** | | | **11,146.55** | **100.00%** |

** Non Traded Security for The Day

# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | N.A. | 10.3487 |
| Regular Growth Option | N.A. | 10.3487 |
| Direct Dividend Option | N.A. | 10.3513 |
| Direct Growth Option | N.A. | 10.3513 |

* Opening NAV as of Mar 28, 2013 is not available as scheme launched on April 02, 2013

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 183 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

# LIC NOMURA MUTUAL FUND

Registered Office: Industrial Assurance Building, 4th Floor, Opp. Churchgate Station, Mumbai - 400 020, Tel: 022 - 22851661

# HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013
## (Pursuant to regulation 59A of SEBI Mutual Funds Regulations 1996)

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 63

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| ICICI Bank Ltd. (08/05/2014) ** # | CARE A1+ | 1,500,000 | 1,418.08 | 22.58% |
| Kotak Mahindra Bank Ltd. (09/05/2014) ** # | CRISIL A1+ | 1,500,000 | 1,417.73 | 22.57% |
| Yes Bank Ltd. (09/05/2014) ** # | ICRA A1+ | 1,500,000 | 1,416.76 | 22.56% |
| HDFC Bank Ltd. (16/05/2014) ** # | CARE A1+ | 1,500,000 | 1,415.26 | 22.53% |
| ING Vysya Bank Ltd. (15/04/2014) ** # | CRISIL A1+ | 500,000 | 475.13 | 7.57% |
| **Sub Total** | | | **6,142.97** | **97.81%** |
| **Total** | | | **6,142.97** | **97.81%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 139.80 | 2.23% |
| **Sub Total** | | | **139.80** | **2.23%** |
| **Total** | | | **139.80** | **2.23%** |
| **Net Receivables / (Payables)** | | | **(2.16)** | **(0.03)%** |
| **GRAND TOTAL** | | | **6,280.60** | **100.00%** |

** Non Traded Security for The Day
# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | N.A. | 10.2279 |
| Regular Growth Option | N.A. | 10.2279 |
| Direct Dividend Option | N.A. | 10.2300 |
| Direct Growth Option | N.A. | 10.2300 |

* Opening NAV as of Mar 28, 2013 is not available as scheme launched on May 08, 2013

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 216 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 64

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Debt Instruments** | | | | |
| **(a) Listed / awaiting listing on Stock Exchange** | | | | |
| Reliance Capital Ltd. (12/05/2015) (ZCB) ** | CARE AAA | 1,100,000 | 933.98 | 14.51% |
| Kotak Mahindra Prime Ltd. (12/05/2015) (ZCB) ** | CRISIL AA+ | 1,100,000 | 932.62 | 14.48% |
| 9.46% Power Finance Corporation Ltd. (02/05/2015) ** | CRISIL AAA | 900,000 | 895.37 | 13.91% |
| 9.38% Small Industries Dev Bank of India (14/02/2015) ** | CRISIL AAA | 900,000 | 894.98 | 13.90% |
| 9.225% Infrastructure Development Finance Company Ltd. (06/05/2015) ** | ICRA AAA | 900,000 | 892.84 | 13.87% |
| 8.84% Power Grid Corporation of India Ltd. (29/03/2015) ** | CRISIL AAA | 900,000 | 888.20 | 13.79% |
| 8.70% Housing Development Finance Corporation Ltd. (07/05/2015) ** | CRISIL AAA | 500,000 | 492.08 | 7.64% |
| **Sub Total** | | | **5,930.07** | **92.10%** |
| **(b) Privately placed / Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **5,930.07** | **92.10%** |
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| State Bank of Hyderabad (05/05/2014) ** # | ICRA A1+ | 300,000 | 283.83 | 4.41% |
| **Sub Total** | | | **283.83** | **4.41%** |
| **Total** | | | **283.83** | **4.41%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 33.09 | 0.51% |
| **Sub Total** | | | **33.09** | **0.51%** |
| **Total** | | | **33.09** | **0.51%** |
| **Net Receivables / (Payables)** | | | **191.80** | **2.98%** |
| **GRAND TOTAL** | | | **6,438.79** | **100.00%** |

**ZCB - Zero Coupon Bond**
** Non Traded Security for The Day
# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | N.A. | 10.0769 |
| Regular Growth Option | N.A. | 10.0769 |
| Direct Dividend Option | N.A. | 10.0792 |
| Direct Growth Option | N.A. | 10.0788 |

* Opening NAV as of Mar 28, 2013 is not available as scheme launched on May 15, 2013

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 531 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 66

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| ICICI Bank Ltd. (23/06/2014) ** # | CRISIL A1+ | 5,000,000 | 4,673.34 | 16.11% |
| Oriental Bank of Commerce (24/06/2014) ** # | CRISIL A1+ | 5,000,000 | 4,672.24 | 16.11% |
| HDFC Bank Ltd. (27/06/2014) ** # | CARE A1+ | 5,000,000 | 4,668.93 | 16.09% |
| UCO Bank (27/06/2014) ** # | ICRA A1+ | 5,000,000 | 4,668.93 | 16.09% |
| Corporation Bank (25/06/2014) ** # | CRISIL A1+ | 3,500,000 | 3,269.79 | 11.27% |
| State Bank of Patiala (25/06/2014) ** # | ICRA A1+ | 2,500,000 | 2,335.57 | 8.05% |
| Vijaya Bank (27/06/2014) ** # | ICRA A1+ | 2,500,000 | 2,334.47 | 8.05% |
| United Bank of India (27/06/2014) ** # | ICRA A1+ | 2,500,000 | 2,332.38 | 8.04% |
| **Sub Total** | | | **28,955.64** | **99.82%** |
| **Total** | | | **28,955.64** | **99.82%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 62.98 | 0.22% |
| **Sub Total** | | | **62.98** | **0.22%** |
| **Total** | | | **62.98** | **0.22%** |
| **Net Receivables / (Payables)** | | | **(9.80)** | **(0.03)%** |
| **GRAND TOTAL** | | | **29,008.82** | **100.00%** |

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 66 (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

** Non Traded Security for The Day
# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | N.A. | 10.1021 |
| Regular Growth Option | N.A. | 10.1023 |
| Direct Dividend Option | N.A. | 10.1050 |
| Direct Growth Option | N.A. | 10.1050 |

* Opening NAV as of Mar 28, 2013 is not available as scheme launched on June 27, 2013

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 268 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 68

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Axis Bank Ltd. (14/08/2014) ** # | CRISIL A1+ | 3,300,000 | 3,045.73 | 28.79% |
| Central Bank of India (07/08/2014) ** # | CARE A1+ | 2,500,000 | 2,308.68 | 21.83% |
| State Bank of Patiala (12/08/2014) ** # | ICRA A1+ | 2,500,000 | 2,308.49 | 21.82% |
| Bank of Maharashtra (13/08/2014) ** # | CRISIL A1+ | 2,500,000 | 2,307.93 | 21.82% |
| Central Bank of India (13/08/2014) ** # | CARE A1+ | 500,000 | 461.05 | 4.36% |
| **Sub Total** | | | **10,431.88** | **98.62%** |
| **Total** | | | **10,431.88** | **98.62%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 147.59 | 1.40% |
| **Sub Total** | | | **147.59** | **1.40%** |
| **Total** | | | **147.59** | **1.40%** |
| **Net Receivables / (Payables)** | | | **(1.57)** | **(0.01)%** |
| **GRAND TOTAL** | | | **10,577.91** | **100.00%** |

** Non Traded Security for The Day
# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | N.A. | 10.1707 |
| Regular Growth Option | N.A. | 10.1707 |
| Direct Dividend Option | N.A. | 10.1754 |
| Direct Growth Option | N.A. | 10.1720 |

* Opening NAV as of Mar 28, 2013 is not available as scheme launched on August 14, 2013

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 311 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 69

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Central Bank of India (18/03/2014) ** # | CARE A1+ | 1,000,000 | 957.36 | 26.52% |
| IDBI Bank Ltd. (21/03/2014) ** # | CRISIL A1+ | 1,000,000 | 956.94 | 26.50% |
| South Indian Bank Ltd. (21/03/2014) ** # | CARE A1+ | 1,000,000 | 956.27 | 26.49% |
| Vijaya Bank (20/03/2014) ** # | CARE A1+ | 260,000 | 248.87 | 6.89% |
| **Sub Total** | | | **3,119.44** | **86.40%** |
| **Commercial Paper** | | | | |
| Reliance Capital Ltd. (21/03/2014) ** # | CRISIL A1+ | 500,000 | 477.38 | 13.22% |
| **Sub Total** | | | **477.38** | **13.22%** |
| **Total** | | | **3,596.81** | **99.62%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 14.15 | 0.39% |
| **Sub Total** | | | **14.15** | **0.39%** |
| **Total** | | | **14.15** | **0.39%** |
| **Net Receivables / (Payables)** | | | **(0.36)** | **(0.01)%** |
| **GRAND TOTAL** | | | **3,610.60** | **100.00%** |

** Non Traded Security for The Day
# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | N.A. | 10.1941 |
| Regular Growth Option | N.A. | 10.1941 |
| Direct Dividend Option | N.A. | 10.1955 |
| Direct Growth Option | N.A. | 10.1955 |

* Opening NAV as of Mar 28, 2013 is not available as scheme launched on August 28, 2013

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 170 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 70

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| State Bank of Travancore (09/09/2014) ** # | CRISIL A1+ | 760,000 | 697.04 | 27.33% |
| State Bank of Hyderabad (04/09/2014) ** # | ICRA A1+ | 500,000 | 459.13 | 18.00% |
| IDBI Bank Ltd. (09/09/2014) ** # | CRISIL A1+ | 500,000 | 458.58 | 17.98% |
| State Bank of Patiala (09/09/2014) ** # | CARE A1+ | 500,000 | 458.58 | 17.98% |
| Indian Bank (09/09/2014) ** # | FITCH A1+ | 500,000 | 458.58 | 17.98% |
| **Sub Total** | | | **2,531.90** | **99.28%** |
| **Total** | | | **2,531.90** | **99.28%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 18.32 | 0.72% |
| **Sub Total** | | | **18.32** | **0.72%** |
| **Total** | | | **18.32** | **0.72%** |
| **Net Receivables / (Payables)** | | | **0.13** | **0.01%** |
| **GRAND TOTAL** | | | **2,550.35** | **100.00%** |

## LIC NOMURA MF FIXED MATURITY PLAN SERIES 70 (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

** Non Traded Security for The Day
# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | N.A. | 10.1567 |
| Regular Growth Option | N.A. | 10.1567 |
| Direct Dividend Option | N.A. | 10.1575 |
| Direct Growth Option | N.A. | 10.1575 |

* Opening NAV as of Mar 28, 2013 is not available as scheme launched on September 04, 2013

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 341 days
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF EQUITY FUND

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| Infosys Ltd. | Software | 85,965 | 2,590.13 | 8.85% |
| ITC Ltd. | Consumer Non Durables | 724,936 | 2,467.68 | 8.44% |
| Reliance Industries Ltd. | Petroleum Products | 266,194 | 2,189.18 | 7.48% |
| HDFC Bank Ltd. | Banks | 351,580 | 2,085.05 | 7.13% |
| ICICI Bank Ltd. | Banks | 225,300 | 1,990.86 | 6.81% |
| Larsen & Toubro Ltd. | Construction Project | 223,600 | 1,763.65 | 6.03% |
| State Bank of India | Banks | 76,500 | 1,235.40 | 4.22% |
| Wipro Ltd. | Software | 225,501 | 1,066.73 | 3.65% |
| Tata Motors Ltd. | Auto | 309,500 | 1,029.09 | 3.52% |
| Mahindra & Mahindra Ltd. | Auto | 102,364 | 847.01 | 2.90% |
| Bharti Airtel Ltd. | Telecom - Services | 257,679 | 821.09 | 2.81% |
| Bajaj Auto Ltd. | Auto | 39,200 | 779.08 | 2.66% |
| Cipla Ltd. | Pharmaceuticals | 174,482 | 754.63 | 2.58% |
| Kotak Mahindra Bank Ltd. | Banks | 95,432 | 647.12 | 2.21% |
| Persistent Systems Ltd. | Software | 97,784 | 619.36 | 2.12% |
| Oil & Natural Gas Corporation Ltd. | Oil | 229,850 | 615.65 | 2.10% |
| Bosch Ltd. | Auto Ancillaries | 6,749 | 610.69 | 2.09% |
| Petronet LNG Ltd. | Gas | 493,125 | 585.34 | 2.00% |
| Colgate Palmolive (India) Ltd. | Consumer Non Durables | 46,918 | 581.74 | 1.99% |
| Lupin Ltd. | Pharmaceuticals | 67,500 | 577.70 | 1.97% |
| Ranbaxy Laboratories Ltd. | Pharmaceuticals | 153,527 | 507.10 | 1.73% |
| Zee Entertainment Enterprises Ltd. | Media & Entertainment | 187,000 | 427.30 | 1.46% |
| Tata Steel Ltd. | Ferrous Metals | 156,454 | 424.85 | 1.45% |
| SKF India Ltd. | Industrial Products | 79,063 | 398.24 | 1.36% |
| Bharat Petroleum Corporation Ltd. | Petroleum Products | 108,000 | 357.75 | 1.22% |
| Power Finance Corporation Ltd. | Finance | 270,900 | 354.61 | 1.21% |
| Tata Power Company Ltd. | Power | 394,100 | 319.81 | 1.09% |
| Crompton Greaves Ltd. | Industrial Capital Goods | 368,300 | 316.92 | 1.08% |
| Canara Bank | Banks | 136,466 | 302.20 | 1.03% |
| Coal India Ltd. | Minerals/Mining | 95,484 | 281.15 | 0.96% |
| Idea Cellular Ltd. | Telecom - Services | 164,271 | 276.39 | 0.94% |
| Sun Pharmaceuticals Industries Ltd. | Pharmaceuticals | 45,104 | 267.60 | 0.91% |
| Bank of India | Banks | 168,300 | 265.83 | 0.91% |
| Axis Bank Ltd. | Banks | 19,061 | 192.11 | 0.66% |
| Dr. Reddy's Laboratories Ltd. | Pharmaceuticals | 6,500 | 154.77 | 0.53% |
| VST Industries Ltd. | Consumer Non Durables | 8,240 | 123.72 | 0.42% |
| Hindustan Zinc Ltd. | Non - Ferrous Metals | 86,491 | 115.51 | 0.39% |
| Nestle India Ltd. | Consumer Non Durables | 1,598 | 83.41 | 0.29% |
| Pidilite Industries Ltd. | Chemicals | 29,026 | 72.86 | 0.25% |
| **Sub Total** | | | **29,099.31** | **99.48%** |
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **29,099.31** | **99.48%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 310.60 | 1.06% |
| **Sub Total** | | | **310.60** | **1.06%** |
| **Total** | | | **310.60** | **1.06%** |
| **Net Receivables / (Payables)** | | | **(159.05)** | **(0.54)%** |
| **GRAND TOTAL** | | | **29,250.86** | **100.00%** |

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 10.1851 | 9.9210 |
| Regular Growth Option | 25.8447 | 25.1746 |
| Direct Dividend Option | 10.1933 | 9.9377 |
| Direct Growth Option | 25.8489 | 25.1969 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Portfolio Turnover Ratio - 0.45 times
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositar Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF GROWTH FUND

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| ITC Ltd. | Consumer Non Durables | 200,100 | 681.14 | 9.72% |
| ICICI Bank Ltd. | Banks | 56,900 | 502.80 | 7.18% |
| HDFC Bank Ltd. | Banks | 81,000 | 480.37 | 6.86% |
| HCL Technologies Ltd. | Software | 33,100 | 359.86 | 5.14% |
| Tata Consultancy Services Ltd. | Software | 18,050 | 347.97 | 4.97% |
| Infosys Ltd. | Software | 10,647 | 320.79 | 4.58% |
| Larsen & Toubro Ltd. | Construction Project | 36,079 | 284.57 | 4.06% |
| Idea Cellular Ltd. | Telecom - Services | 164,156 | 276.19 | 3.94% |
| Lupin Ltd. | Pharmaceuticals | 27,702 | 237.09 | 3.38% |
| Tata Motors Ltd. DVR Shares | Auto | 132,700 | 217.23 | 3.10% |
| Bharti Airtel Ltd. | Telecom - Services | 68,100 | 217.00 | 3.10% |
| Sun Pharmaceuticals Industries Ltd. | Pharmaceuticals | 34,106 | 202.35 | 2.89% |
| Kotak Mahindra Bank Ltd. | Banks | 29,100 | 197.33 | 2.82% |
| Cipla Ltd. | Pharmaceuticals | 39,200 | 169.54 | 2.42% |
| Housing Development Finance Corporation Ltd. | Finance | 22,000 | 168.14 | 2.40% |

LIC NOMURA MUTUAL FUND

Registered Office: Industrial Assurance Building, 4th Floor, Opp. Churchgate Station, Mumbai - 400 020, Tel: 022 - 22851661

# HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013
## (Pursuant to regulation 59A of SEBI Mutual Funds Regulations 1996)

## LIC NOMURA MF GROWTH FUND

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30,2013

| Name of the Instrument | Industry | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| Persistent Systems Ltd. | Software | 25,576 | 162.00 | 2.31% |
| Zee Entertainment Enterprises Ltd. | Media & Entertainment | 68,059 | 155.51 | 2.22% |
| Axis Bank Ltd. | Banks | 15,400 | 155.21 | 2.22% |
| Bosch Ltd. | Auto Ancillaries | 1,681 | 152.11 | 2.17% |
| Nestle India Ltd. | Consumer Non Durables | 2,800 | 146.15 | 2.09% |
| Bajaj Auto Ltd. | Auto | 7,200 | 143.10 | 2.04% |
| Wipro Ltd. | Software | 29,848 | 141.20 | 2.02% |
| Colgate Palmolive (India) Ltd. | Consumer Non Durables | 11,300 | 140.11 | 2.00% |
| Petronet LNG Ltd. | Gas | 115,643 | 137.27 | 1.96% |
| Oil & Natural Gas Corporation Ltd. | Oil | 48,900 | 130.98 | 1.87% |
| Dish TV India Ltd. | Media & Entertainment | 231,800 | 117.06 | 1.67% |
| Tata Motors Ltd. | Auto | 32,200 | 107.07 | 1.53% |
| State Bank of India | Banks | 5,933 | 95.81 | 1.37% |
| D.B.Corp Ltd. | Media & Entertainment | 38,657 | 93.90 | 1.34% |
| Mindtree Ltd. | Software | 7,300 | 88.99 | 1.27% |
| Asian Paints Ltd. | Consumer Non Durables | 15,700 | 72.10 | 1.03% |
| Pidilite Industries Ltd. | Chemicals | 26,600 | 66.77 | 0.95% |
| Kaveri Seed Company Ltd. | Consumer Non Durables | 2,400 | 34.97 | 0.50% |
| PVR Ltd. | Media & Entertainment | 1,079 | 5.31 | 0.08% |
| **Sub Total** | | | **6,807.97** | **97.18%** |
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **6,807.97** | **97.18%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 305.17 | 4.36% |
| **Sub Total** | | | **305.17** | **4.36%** |
| **Total** | | | **305.17** | **4.36%** |
| **Net Receivables / (Payables)** | | | **(107.41)** | **(1.53)%** |
| **GRAND TOTAL** | | | **7,005.72** | **100.00%** |

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| NAV | | |
| Regular Dividend Option | 11.1267 | 11.4163 |
| Regular Growth Option | 12.5466 | 12.8736 |
| Direct Dividend Option | 11.1021 | 11.4298 |
| Direct Growth Option | 12.5601 | 12.9208 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

ii) Portfolio Turnover Ratio - 0.44 times

iii) Dividend declared during the half year ended 30 September, 2013 - Nil

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - Nil

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF TAX PLAN

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| ITC Ltd. | Consumer Non Durables | 76,600 | 260.75 | 9.60% |
| Infosys Ltd. | Software | 7,900 | 238.03 | 8.76% |
| Reliance Industries Ltd. | Petroleum Products | 28,900 | 237.67 | 8.75% |
| Tata Consultancy Services Ltd. | Software | 10,100 | 194.71 | 7.17% |
| ICICI Bank Ltd. | Banks | 21,600 | 190.87 | 7.03% |
| HDFC Bank Ltd. | Banks | 27,330 | 162.08 | 5.97% |
| Idea Cellular Ltd. | Telecom - Services | 68,800 | 115.76 | 4.26% |
| Larsen & Toubro Ltd. | Construction Project | 13,750 | 108.45 | 3.99% |
| Housing Development Finance Corporation Ltd. | Finance | 14,150 | 108.14 | 3.98% |
| Mahindra & Mahindra Ltd. | Auto | 10,700 | 88.54 | 3.26% |
| Kotak Mahindra Bank Ltd. | Banks | 8,558 | 58.03 | 2.14% |
| Dr. Reddy's Laboratories Ltd. | Pharmaceuticals | 2,400 | 57.15 | 2.10% |
| D.B.Corp Ltd. | Media & Entertainment | 23,134 | 56.19 | 2.07% |
| Bajaj Auto Ltd. | Auto | 2,800 | 55.65 | 2.05% |
| State Bank of India | Banks | 3,350 | 54.10 | 1.99% |
| Sun Pharmaceuticals Industries Ltd. | Pharmaceuticals | 9,000 | 53.40 | 1.97% |
| Cipla Ltd. | Pharmaceuticals | 12,238 | 52.93 | 1.95% |
| Persistent Systems Ltd. | Software | 7,928 | 50.22 | 1.85% |
| Zee Entertainment Enterprises Ltd. | Media & Entertainment | 21,600 | 49.36 | 1.82% |
| Bharti Airtel Ltd. | Telecom - Services | 13,600 | 43.34 | 1.60% |
| Wipro Ltd. | Software | 8,969 | 42.43 | 1.56% |
| Tata Motors Ltd. DVR Shares | Auto | 25,600 | 41.91 | 1.54% |
| Nestle India Ltd. | Consumer Non Durables | 800 | 41.76 | 1.54% |
| Divi's Laboratories Ltd. | Pharmaceuticals | 3,800 | 36.87 | 1.36% |
| Colgate Palmolive (India) Ltd. | Consumer Non Durables | 2,900 | 35.96 | 1.32% |
| Pidilite Industries Ltd. | Chemicals | 13,482 | 33.84 | 1.25% |
| VST Industries Ltd. | Consumer Non Durables | 2,100 | 31.53 | 1.16% |
| Dish TV India Ltd. | Media & Entertainment | 60,000 | 30.30 | 1.12% |
| Tata Communications Ltd. | Telecom - Services | 15,500 | 29.20 | 1.07% |
| Lupin Ltd. | Pharmaceuticals | 2,600 | 22.25 | 0.82% |
| Kaveri Seed Company Ltd. | Consumer Non Durables | 1,300 | 18.94 | 0.70% |
| HCL Technologies Ltd. | Software | 1,400 | 15.22 | 0.56% |
| Gujarat Pipavav Port Ltd. | Transportation | 18,310 | 8.45 | 0.31% |
| **Sub Total** | | | **2,624.00** | **96.59%** |
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **2,624.00** | **96.59%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 103.64 | 3.81% |
| **Sub Total** | | | **103.64** | **3.81%** |
| **Total** | | | **103.64** | **3.81%** |
| **Net Receivables / (Payables)** | | | **(10.95)** | **(0.40)%** |
| **GRAND TOTAL** | | | **2,716.69** | **100.00%** |

## LIC NOMURA MF TAX PLAN (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| NAV | | |
| Regular Dividend Option | 10.1670 | 10.1581 |
| Regular Growth Option | 28.3966 | 28.3724 |
| Direct Dividend Option | 10.1890 | 10.2365 |
| Direct Growth Option | 28.4720 | 28.5915 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

iii) Portfolio Turnover Ratio - 0.34 times

iii) Dividend declared during the half year ended 30 September, 2013 - Nil

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - Nil

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF MONTHLY INCOME PLAN

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER, 30,2013

| Name of the Instrument | Industry / Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| Infosys Ltd. | Software | 3,800 | 114.49 | 2.63% |
| Tata Consultancy Services Ltd. | Software | 4,800 | 92.53 | 2.12% |
| Mahindra & Mahindra Ltd. | Auto | 8,000 | 66.20 | 1.52% |
| ITC Ltd. | Consumer Non Durables | 19,000 | 64.68 | 1.48% |
| ICICI Bank Ltd. | Banks | 5,200 | 45.95 | 1.05% |
| Reliance Industries Ltd. | Petroleum Products | 5,000 | 41.12 | 0.94% |
| Housing Development Finance Corporation Ltd. | Finance | 4,800 | 36.68 | 0.84% |
| Cipla Ltd. | Pharmaceuticals | 7,500 | 32.44 | 0.74% |
| HDFC Bank Ltd. | Banks | 4,122 | 24.45 | 0.56% |
| Ultratech Cement Ltd. | Cement | 1,000 | 18.09 | 0.42% |
| Lupin Ltd. | Pharmaceuticals | 1,700 | 14.55 | 0.33% |
| Sun Pharmaceuticals Industries Ltd. | Pharmaceuticals | 2,400 | 14.24 | 0.33% |
| Bajaj Auto Ltd. | Auto | 600 | 11.92 | 0.27% |
| Dr. Reddy's Laboratories Ltd. | Pharmaceuticals | 500 | 11.91 | 0.27% |
| Axis Bank Ltd. | Banks | 1,000 | 10.08 | 0.23% |
| Tata Motors Ltd. | Auto | 3,000 | 9.98 | 0.23% |
| Asian Paints Ltd. | Consumer Non Durables | 2,000 | 9.19 | 0.21% |
| Kotak Mahindra Bank Ltd. | Banks | 1,300 | 8.82 | 0.20% |
| Ambuja Cements Ltd. | Cement | 2,200 | 4.02 | 0.09% |
| Cairn India Ltd. | Oil | 700 | 2.23 | 0.05% |
| Maruti Suzuki India Ltd. | Auto | 150 | 2.04 | 0.05% |
| Indusind Bank Ltd. | Banks | 250 | 0.92 | 0.02% |
| Larsen & Toubro Ltd. | Construction Project | 112 | 0.88 | 0.02% |
| **Sub Total** | | | **637.39** | **14.62%** |
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **637.39** | **14.62%** |
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Oriental Bank of Commerce (22/09/2014) ** # | CRISIL A1+ | 500,000 | 457.14 | 10.49% |
| United Bank of India (16/06/2014) ** # | ICRA A1+ | 250,000 | 233.89 | 5.37% |
| Andhra Bank (27/12/2013) ** # | CARE A1+ | 200,000 | 195.50 | 4.48% |
| **Sub Total** | | | **886.53** | **20.34%** |
| **Commercial Paper** | | | | |
| Power Finance Corporation Ltd. (15/07/2014) ** # | CRISIL A1+ | 1,000,000 | 929.73 | 21.33% |
| Infrastructure Development Finance Company Ltd. (10/03/2014) ** # | ICRA A1+ | 750,000 | 719.70 | 16.51% |
| Housing Development Finance Corporation Ltd. (08/10/2013) ** # | ICRA A1+ | 500,000 | 498.87 | 11.44% |
| IL&FS Financial Services Ltd. (17/09/2014) ** # | CARE A1+ | 400,000 | 366.15 | 8.40% |
| Godrej Industries Ltd. (06/12/2013) ** # | ICRA A1+ | 110,000 | 108.11 | 2.48% |
| **Sub Total** | | | **2,622.57** | **60.16%** |
| **Total** | | | **3,509.09** | **80.50%** |
| **Others** | | | | |
| **Mutual Fund Units** | | | | |
| LIC Nomura MF Liquid Fund-Direct Plan-Gr Option | | 4,505 | 100.17 | 2.30% |
| **Sub Total** | | | **100.17** | **2.30%** |
| **Total** | | | **100.17** | **2.30%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 148.74 | 3.41% |
| **Sub Total** | | | **148.74** | **3.41%** |
| **Total** | | | **148.74** | **3.41%** |
| **Net Receivables / (Payables)** | | | **(36.03)** | **(0.83)%** |
| **GRAND TOTAL** | | | **4,359.37** | **100.00%** |

** Non Traded Security for The Day

\# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| NAV | | |
| Regular Monthly Dividend Option | 10.3323 | 10.2557 |
| Regular Quaterly Dividend Option | 10.3610 | 10.5101 |
| Regular Yearly Dividend Option | 10.6692 | 11.0841 |
| Regular Growth Option | 35.7875 | 37.1790 |
| Dirtect Monthly Dividend Option | 10.3456 | 10.2971 |
| Direct Quaterly Dividend Option | 10.3727 | 10.5503 |
| Direct Yearly Dividend Option | 10.6815 | 11.1266 |
| Direct Growth Option | 35.8270 | 37.3150 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

ii) Average Maturity Period - 175 days

iii) Dividend declared during the half year ended 30 September, 2013 under the dividend option of the Scheme is as follows:

| Option | Month | Rate of Dividend Per Unit | |
|---|---|---|---|
| | | Individual/HUF | Others |
| Regular Monthly Dividend Option | Apr-13 | 0.0613 | 0.0522 |
| Regular Monthly Dividend Option | May-13 | 0.0876 | 0.0746 |
| Regular Monthly Dividend Option | Jun-13 | 0.0779 | 0.0746 |
| Regular Monthly Dividend Option | Jul-13 | 0.0779 | 0.0746 |
| Regular Monthly Dividend Option | Sep-13 | 0.0779 | 0.0746 |
| Direct Monthly Dividend | Apr-13 | 0.0613 | 0.0522 |
| Direct Monthly Dividend | May-13 | 0.0876 | 0.0746 |
| Direct Monthly Dividend | Jun-13 | 0.0779 | 0.0746 |
| Direct Monthly Dividend | Jul-13 | 0.0779 | 0.0746 |
| Direct Monthly Dividend | Sep-13 | 0.0779 | 0.0746 |
| Quarterly Dividend | Jun-13 | 0.1169 | 0.1119 |
| Quarterly Dividend | Sep-13 | 0.0779 | 0.0746 |
| Direct Quarterly Dividend | Jun-13 | 0.1169 | 0.1119 |
| Direct Quarterly Dividend | Sep-13 | 0.0779 | 0.0746 |

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - Nil

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF BOND FUND

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER, 30,2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Debt Instruments** | | | | |
| **(a) Listed / awaiting listing on Stock Exchange** | | | | |
| 11.50% Rural Electrification Corporation Ltd. (26/11/2013) ** | CRISIL AAA | 1,110,000 | 1,111.13 | 6.87% |
| 10.60% Indian Railway Finance Corp Ltd. (11/09/2018) ** | CRISIL AAA | 960,000 | 1,001.85 | 6.19% |
| 9.70% National Bank For Agriculture and Rural Development (06/06/2016) ** | CRISIL AAA | 1,000,000 | 998.80 | 6.17% |
| 9.37% Infrastructure Development Finance Company Ltd. (27/04/2015) ** | ICRA AAA | 1,000,000 | 995.28 | 6.15% |
| 9.15% Government of India (14/11/2024) | SOVEREIGN | 1,000,000 | 993.05 | 6.14% |
| 9.38% Export Import Bank of India (29/09/2021) ** | CRISIL AAA | 1,000,000 | 980.33 | 6.06% |
| 8.27% Power Finance Corporation Ltd. (25/06/2016) ** | CRISIL AAA | 1,000,000 | 966.09 | 5.97% |
| 9.30% Sundaram Finance Ltd. (27/04/2018) ** | ICRA AA+ | 1,000,000 | 949.72 | 5.87% |
| 9.25% Mahindra & Mahindra Financial Services Ltd. (20/04/2018) ** | CRISIL AA+ | 1,000,000 | 948.17 | 5.86% |
| 8.82% Rural Electrification Corporation Ltd. (12/04/2023) | CRISIL AAA | 1,000,000 | 944.55 | 5.84% |
| Dewan Housing Finance Corporation Ltd. (15/07/2014) (ZCB) ** | CARE AA+ | 800,000 | 851.49 | 5.26% |
| 9.55% Power Finance Corporation Ltd. (13/01/2015) ** | CRISIL AAA | 500,000 | 498.41 | 3.08% |
| 9.18% National Bank For Agriculture and Rural Development (07/02/2017) ** | CRISIL AAA | 500,000 | 492.22 | 3.04% |
| 8.97% Government of India (05/12/2030) | SOVEREIGN | 500,000 | 485.75 | 3.00% |
| 8.85% Power Grid Corporation of India Ltd. (19/10/2020) ** | CRISIL AAA | 500,000 | 478.18 | 2.96% |
| 8.85% Power Grid Corporation of India Ltd. (19/10/2021) ** | CRISIL AAA | 500,000 | 475.80 | 2.94% |
| 8.33% Government of India (09/07/2026) | SOVEREIGN | 500,000 | 466.00 | 2.88% |
| 10.25% Indian Oil Corporation Ltd. (17/07/2015) ** | CRISIL AAA | 240,000 | 242.05 | 1.50% |
| 10.25% Indian Oil Corporation Ltd. (17/07/2014) ** | CRISIL AAA | 240,000 | 241.00 | 1.49% |
| 10.25% Indian Oil Corporation Ltd. (17/07/2016) ** | CRISIL AAA | 140,000 | 141.86 | 0.88% |
| 9.38% National Bank For Agriculture and Rural Development (16/01/2015) ** | CRISIL AAA | 100,000 | 99.48 | 0.61% |
| 11.00% Shriram Transport Finance Company Ltd. (26/08/2014) ** | FITCH AA | 10,000 | 2.01 | 0.01% |
| **Sub Total** | | | **14,363.20** | **88.76%** |
| **(b) Privately placed / Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **14,363.20** | **88.76%** |
| **Money Market Instruments** | | | | |
| **Commercial Paper** | | | | |
| Housing Development Finance Corporation Ltd. (05/08/2014) ** # | ICRA A1+ | 1,000,000 | 924.97 | 5.72% |
| **Sub Total** | | | **924.97** | **5.72%** |
| **Total** | | | **924.97** | **5.72%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 353.08 | 2.18% |
| **Sub Total** | | | **353.08** | **2.18%** |
| **Total** | | | **353.08** | **2.18%** |
| **Net Receivables / (Payables)** | | | **540.14** | **3.34%** |
| **GRAND TOTAL** | | | **16,181.39** | **100.00%** |

**ZCB - Zero Coupon Bond**

** Non Traded Security for The Day

\# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| NAV | | |
| Regular Dividend Option | 10.2055 | 9.9038 |
| Regular Growth Option | 32.7761 | 32.7514 |
| Direct Dividend Option | 10.2175 | 9.9432 |
| Direct Growth Option | 32.8027 | 32.8665 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

ii) Average Maturity Period - 1692 days

iii) Dividend declared during the half year ended 30 September, 2013 under the dividend option of the Scheme is as follows

| Option | Month | Rate of Dividend Per Unit | |
|---|---|---|---|
| | | Individual/HUF | Others |
| Daily Dividend | Jun-13 | 0.2338 | 0.2239 |
| Direct Daily Dividend | Jun-13 | 0.2338 | 0.2239 |

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - Nil

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF GOVT SECURITIES FUND

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Debt Instruments** | | | | |
| **(a) Listed / awaiting listing on Stock Exchange** | | | | |
| 8.20% Government of India (24/09/2025) | SOVEREIGN | 1,000,000 | 923.50 | 20.86% |
| 7.16% Government of India (20/05/2023) | SOVEREIGN | 1,000,000 | 896.80 | 20.26% |
| 9.15% Government of India (14/11/2024) | SOVEREIGN | 500,000 | 496.52 | 11.22% |
| 8.97% Government of India (05/12/2030) | SOVEREIGN | 500,000 | 485.75 | 10.97% |
| 8.08% Government of India (02/08/2022) | SOVEREIGN | 500,000 | 466.30 | 10.53% |
| 8.33% Government of India (09/07/2026) | SOVEREIGN | 500,000 | 466.00 | 10.53% |
| 10.25% Indian Oil Corporation Ltd. (17/07/2016) ** | CRISIL AAA | 100,000 | 101.33 | 2.29% |
| **Sub Total** | | | **3,836.20** | **86.66%** |
| **(b) Privately placed / Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **3,836.20** | **86.66%** |
| **CBLO / Reverse Repo** | | | | |

# LIC NOMURA MUTUAL FUND

Registered Office: Industrial Assurance Building, 4th Floor, Opp. Churchgate Station, Mumbai - 400 020, Tel: 022 - 22851661

# HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013
## (Pursuant to regulation 59A of SEBI Mutual Funds Regulations 1996)

## LIC NOMURA MF GOVT SECURITIES FUND

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| CBLO | | | 533.47 | 12.05% |
| **Sub Total** | | | **533.47** | **12.05%** |
| **Total** | | | **533.47** | **12.05%** |
| **Net Receivables / (Payables)** | | | **57.07** | **1.29%** |
| **GRAND TOTAL** | | | **4,426.75** | **100.00%** |

** Non Traded Security for The Day

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 10.1852 | 9.6348 |
| Regular Growth Option | 26.4520 | 25.6383 |
| PF Dividend Option | 10.1606 | 9.5636 |
| PF Growth Option | 15.2475 | 14.7784 |
| Direct Dividend Option | 10.1961 | 9.6714 |
| Growth Dividend Option | 26.4832 | 25.7386 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

ii) Average Maturity Period - 3625 days

iii) Dividend declared during the half year ended 30 September, 2013 under the dividend option of the Scheme is as follows:

| Option | Month | Rate of Dividend Per Unit | |
|---|---|---|---|
| | | Individual/HUF | Others |
| Regular Dividend | Jun-13 | 0.1948 | 0.1866 |
| Direct Daily Dividend | Jun-13 | 0.1948 | 0.1866 |
| PF Dividend | Jun-13 | 0.2338 | 0.2239 |

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - Nil

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF CHILDRENS FUND

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry / Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| ITC Ltd. | Consumer Non Durables | 13,300 | 45.27 | 9.55% |
| HDFC Bank Ltd. | Banks | 5,013 | 29.73 | 6.27% |
| Housing Development Finance Corporation Ltd. | Finance | 3,750 | 28.66 | 6.04% |
| Infosys Ltd. | Software | 925 | 27.87 | 5.88% |
| Tata Consultancy Services Ltd. | Software | 1,275 | 24.58 | 5.18% |
| Reliance Industries Ltd. | Petroleum Products | 2,900 | 23.85 | 5.03% |
| ICICI Bank Ltd. | Banks | 2,250 | 19.88 | 4.19% |
| Tata Motors Ltd. | Auto | 5,000 | 16.63 | 3.51% |
| Mahindra & Mahindra Ltd. | Auto | 1,954 | 16.17 | 3.41% |
| Dr. Reddy's Laboratories Ltd. | Pharmaceuticals | 350 | 8.33 | 1.76% |
| Bharti Airtel Ltd. | Telecom - Services | 2,250 | 7.17 | 1.51% |
| Maruti Suzuki India Ltd. | Auto | 500 | 6.79 | 1.43% |
| Cairn India Ltd. | Oil | 2,000 | 6.38 | 1.34% |
| Cipla Ltd. | Pharmaceuticals | 1,300 | 5.62 | 1.19% |
| Bajaj Auto Ltd. | Auto | 280 | 5.56 | 1.17% |
| Asian Paints Ltd. | Consumer Non Durables | 1,100 | 5.05 | 1.07% |
| State Bank of India | Banks | 275 | 4.44 | 0.94% |
| Lupin Ltd. | Pharmaceuticals | 500 | 4.28 | 0.90% |
| HCL Technologies Ltd. | Software | 300 | 3.26 | 0.69% |
| Sun Pharmaceuticals Industries Ltd. | Pharmaceuticals | 520 | 3.09 | 0.65% |
| NTPC Ltd. | Power | 2,000 | 2.95 | 0.62% |
| Ambuja Cements Ltd. | Cement | 1,005 | 1.83 | 0.39% |
| Ultratech Cement Ltd. | Cement | 100 | 1.81 | 0.38% |
| Grasim Industries Ltd. | Cement | 60 | 1.59 | 0.33% |
| ACC Ltd. | Cement | 100 | 1.11 | 0.23% |
| Kotak Mahindra Bank Ltd. | Banks | 150 | 1.02 | 0.21% |
| Hero MotoCorp Ltd. | Auto | 50 | 1.00 | 0.21% |
| Indusind Bank Ltd. | Banks | 250 | 0.92 | 0.19% |
| **Sub Total** | | | **304.85** | **64.29%** |
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **304.85** | **64.29%** |
| **Debt Instruments** | | | | |
| **(a) Listed / awaiting listing on Stock Exchange** | | | | |
| 11.00% Shriram Transport Finance Company Ltd. (26/08/2014) ** | FITCH AA | 90,000 | 18.08 | 3.81% |
| **Sub Total** | | | **18.08** | **3.81%** |
| **(b) Privately placed / Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **18.08** | **3.81%** |
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| United Bank of India (16/06/2014) ** # | ICRA A1+ | 75,000 | 70.17 | 14.80% |
| **Sub Total** | | | **70.17** | **14.80%** |
| **Commercial Paper** | | | | |
| Export Import Bank of India (29/10/2013) ** # | ICRA A1+ | 35,000 | 34.72 | 7.32% |
| Infrastructure Development Finance Company Ltd. (22/11/2013) ** # | ICRA A1+ | 25,000 | 24.66 | 5.20% |
| **Sub Total** | | | **59.37** | **12.52%** |
| **Total** | | | **129.54** | **27.32%** |
| **Others** | | | | |
| **Mutual Fund Units** | | | | |
| LIC Nomura MF Liquid Fund-Direct Plan-Gr Option | | 543 | 12.07 | 2.55% |
| **Sub Total** | | | **12.07** | **2.55%** |
| **Total** | | | **12.07** | **2.55%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 9.99 | 2.11% |
| **Sub Total** | | | **9.99** | **2.11%** |
| **Total** | | | **9.99** | **2.11%** |
| **Net Receivables / (Payables)** | | | **(0.38)** | **(0.08)%** |
| **GRAND TOTAL** | | | **474.16** | **100.00%** |

** Non Traded Security for The Day

\# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Growth Option | 10.0939 | 10.3625 |
| Direct Growth Option | 10.1065 | 10.4171 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

ii) Portfolio Turnover Ratio - 0.44 times

iii) Dividend declared during the half year ended 30 September, 2013 - Nil

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - Nil

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF LIQUID FUND

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER, 30,2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Bank of Maharashtra (26/11/2013) ** # | CRISIL A1+ | 15,000,000 | 14,781.91 | 2.45% |
| United Bank of India (15/11/2013) ** # | CARE A1+ | 12,500,000 | 12,341.10 | 2.05% |
| Canara Bank (21/11/2013) ** # | CRISIL A1+ | 12,500,000 | 12,334.31 | 2.05% |
| Axis Bank Ltd. (22/10/2013) ** # | CRISIL A1+ | 10,000,000 | 9,936.34 | 1.65% |
| State Bank of Patiala (30/10/2013) ** # | ICRA A1+ | 10,000,000 | 9,917.40 | 1.64% |
| IDBI Bank Ltd. (01/11/2013) ** # | CRISIL A1+ | 10,000,000 | 9,910.07 | 1.64% |
| State Bank of Hyderabad (21/11/2013) ** # | CARE A1+ | 10,000,000 | 9,867.64 | 1.64% |
| The Jammu & Kashmir Bank Ltd. (20/11/2013) ** # | CARE A1+ | 10,000,000 | 9,866.35 | 1.64% |
| Allahabad Bank (26/11/2013) ** # | ICRA A1+ | 10,000,000 | 9,854.41 | 1.63% |
| Punjab National Bank (27/11/2013) ** # | CARE A1+ | 10,000,000 | 9,852.72 | 1.63% |
| Central Bank of India (27/11/2013) ** # | CARE A1+ | 10,000,000 | 9,851.73 | 1.63% |
| State Bank of Patiala (01/11/2013) ** # | ICRA A1+ | 7,500,000 | 7,432.11 | 1.23% |
| The Jammu & Kashmir Bank Ltd. (01/11/2013) ** # | CARE A1+ | 7,500,000 | 7,431.86 | 1.23% |
| Axis Bank Ltd. (16/10/2013) ** # | CRISIL A1+ | 5,000,000 | 4,977.27 | 0.83% |
| South Indian Bank Ltd. (01/11/2013) ** # | CARE A1+ | 5,000,000 | 4,952.44 | 0.82% |
| Karur Vysya Bank Ltd. (01/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,952.01 | 0.82% |
| IDBI Bank Ltd. (05/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,950.34 | 0.82% |
| ICICI Bank Ltd. (08/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,945.38 | 0.82% |
| Karur Vysya Bank Ltd. (06/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,943.91 | 0.82% |
| State Bank of Hyderabad (12/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,941.96 | 0.82% |
| ICICI Bank Ltd. (12/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,940.99 | 0.82% |
| Axis Bank Ltd. (12/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,939.95 | 0.82% |
| ICICI Bank Ltd. (14/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,937.78 | 0.82% |
| ICICI Bank Ltd. (15/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,935.50 | 0.82% |
| South Indian Bank Ltd. (15/11/2013) ** # | CARE A1+ | 5,000,000 | 4,935.12 | 0.82% |
| Andhra Bank (20/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,934.14 | 0.82% |
| Bank of Maharashtra (22/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,931.58 | 0.82% |
| United Bank of India (22/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,931.56 | 0.82% |
| Oriental Bank of Commerce (22/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,931.09 | 0.82% |
| HDFC Bank Ltd. (18/11/2013) ** # | CARE A1+ | 5,000,000 | 4,931.05 | 0.82% |
| Syndicate Bank (25/11/2013) ** # | CARE A1+ | 5,000,000 | 4,929.23 | 0.82% |
| State Bank of Patiala (26/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,927.41 | 0.82% |
| United Bank of India (27/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,926.17 | 0.82% |
| Bank of Maharashtra (03/10/2013) ** # | CRISIL A1+ | 4,500,000 | 4,497.51 | 0.75% |
| IDBI Bank Ltd. (25/10/2013) ** # | ICRA A1+ | 4,500,000 | 4,470.61 | 0.74% |
| IDBI Bank Ltd. (29/10/2013) ** # | CRISIL A1+ | 2,500,000 | 2,481.45 | 0.41% |
| Canara Bank (08/11/2013) ** # | CRISIL A1+ | 2,500,000 | 2,475.05 | 0.41% |
| State Bank of Mysore (18/10/2013) ** # | ICRA A1+ | 2,000,000 | 1,990.88 | 0.33% |
| IDBI Bank Ltd. (22/10/2013) ** # | CRISIL A1+ | 2,000,000 | 1,988.81 | 0.33% |
| South Indian Bank Ltd. (29/10/2013) ** # | CARE A1+ | 2,000,000 | 1,984.88 | 0.33% |
| **Sub Total** | | | **252,062.00** | **41.79%** |
| **Commercial Paper** | | | | |
| Power Finance Corporation Ltd. (15/10/2013) ** # | CRISIL A1+ | 10,000,000 | 9,956.77 | 1.65% |
| Aditya Birla Nuvo Ltd. (31/10/2013) ** # | ICRA A1+ | 10,000,000 | 9,912.93 | 1.64% |
| IL & FS Ltd. (05/11/2013) ** # | CARE A1+ | 10,000,000 | 9,901.21 | 1.64% |
| Mahindra & Mahindra Financial Services Ltd. (05/11/2013) ** # | CRISIL A1+ | 10,000,000 | 9,897.78 | 1.64% |
| Bajaj Finance Ltd. (06/11/2013) ** # | CRISIL A1+ | 10,000,000 | 9,894.86 | 1.64% |
| Mahindra & Mahindra Financial Services Ltd. (11/11/2013) ** # | CRISIL A1+ | 10,000,000 | 9,882.74 | 1.64% |
| Tata Capital Financial Services Ltd. (19/11/2013) ** # | CRISIL A1+ | 10,000,000 | 9,865.60 | 1.64% |
| Aditya Birla Nuvo Ltd. (22/11/2013) ** # | ICRA A1+ | 10,000,000 | 9,858.87 | 1.63% |
| Bajaj Finance Ltd. (25/11/2013) ** # | CRISIL A1+ | 10,000,000 | 9,851.24 | 1.63% |
| Chambal Fertilizers & Chemicals Ltd. (02/12/2013) ** # | CRISIL A1+ | 10,000,000 | 9,842.41 | 1.63% |
| Housing Development Finance Corporation Ltd. (08/10/2013) ** # | ICRA A1+ | 7,575,000 | 7,557.94 | 1.25% |
| EID Parry India Ltd. (23/10/2013) ** # | CRISIL A1+ | 7,500,000 | 7,454.17 | 1.24% |
| Export Import Bank of India (25/10/2013) ** # | ICRA A1+ | 7,500,000 | 7,445.62 | 1.23% |
| HDB Financial Services Ltd. (25/11/2013) ** # | CARE A1+ | 7,500,000 | 7,388.43 | 1.23% |
| Godrej Industries Ltd. (12/11/2013) ** # | ICRA A1+ | 5,500,000 | 5,434.60 | 0.90% |
| EID Parry India Ltd. (03/10/2013) ** # | CRISIL A1+ | 5,000,000 | 4,996.90 | 0.83% |
| L&T Finance Ltd. (04/10/2013) ** # | CARE A1+ | 5,000,000 | 4,995.53 | 0.83% |
| Sundaram BNP Paribas Home Fina Ltd. (11/10/2013) ** # | CRISIL A1+ | 5,000,000 | 4,983.85 | 0.83% |
| L and T Fincorp Limited (18/10/2013) ** # | CARE A1+ | 5,000,000 | 4,973.94 | 0.82% |
| Berger Paints (I) Ltd. (24/10/2013) ** # | CRISIL A1+ | 5,000,000 | 4,968.29 | 0.82% |
| Aditya Birla Finance Ltd. (21/10/2013) ** # | ICRA A1+ | 5,000,000 | 4,967.81 | 0.82% |
| Piramal Enterprises Ltd. (23/10/2013) ** # | ICRA A1+ | 5,000,000 | 4,963.91 | 0.82% |
| Export Import Bank of India (29/10/2013) ** # | ICRA A1+ | 5,000,000 | 4,959.64 | 0.82% |
| Piramal Enterprises Ltd. (28/10/2013) ** # | ICRA A1+ | 5,000,000 | 4,956.33 | 0.82% |
| Export Import Bank of India (05/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,950.60 | 0.82% |
| Gruh Finance Ltd. (11/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,941.19 | 0.82% |
| ICICI Sec Primary Dealership Ltd. (11/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,940.36 | 0.82% |
| Reliance Capital Ltd. (11/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,938.44 | 0.82% |
| Aditya Birla Nuvo Ltd. (15/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,935.89 | 0.82% |
| ICICI Home Finance Company Ltd. (18/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,932.35 | 0.82% |

## LIC NOMURA MF LIQUID FUND (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30,2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| Aditya Birla Finance Ltd. (19/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,931.98 | 0.82% |
| Tata Capital Financial Services Ltd. (20/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,931.89 | 0.82% |
| Mahindra & Mahindra Financial Services Ltd. (20/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,931.62 | 0.82% |
| Reliance Capital Ltd. (19/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,931.36 | 0.82% |
| Mahindra & Mahindra Financial Services Ltd. (18/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,930.16 | 0.82% |
| Chennai Petroleum Corporation Ltd. (22/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,929.97 | 0.82% |
| Aditya Birla Finance Ltd. (18/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,929.46 | 0.82% |
| Aditya Birla Finance Ltd. (22/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,928.68 | 0.82% |
| National Housing Bank (25/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,928.39 | 0.82% |
| Piramal Enterprises Ltd. (18/11/2013) ** # | ICRA A1+ | 5,000,000 | 4,928.30 | 0.82% |
| Reliance Capital Ltd. (25/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,925.62 | 0.82% |
| Tata Motors Ltd. (28/11/2013) ** # | CARE A1+ | 5,000,000 | 4,924.56 | 0.82% |
| Mahindra & Mahindra Financial Services Ltd. (06/12/2013) # | CRISIL A1+ | 5,000,000 | 4,912.76 | 0.81% |
| Tata Motors Ltd. (22/10/2013) ** # | ICRA A1+ | 2,500,000 | 2,484.58 | 0.41% |
| Blue Star Ltd. (31/10/2013) ** # | CARE A1+ | 2,500,000 | 2,477.66 | 0.41% |
| Blue Star Ltd. (19/11/2013) ** # | CARE A1+ | 2,500,000 | 2,467.47 | 0.41% |
| Indiabulls Housing Finance Ltd. (15/11/2013) ** # | CRISIL A1+ | 2,500,000 | 2,466.86 | 0.41% |
| L&T Finance Ltd. (19/11/2013) ** # | CRISIL A1+ | 2,500,000 | 2,466.33 | 0.41% |
| Gruh Finance Ltd. (27/11/2013) ** # | CRISIL A1+ | 2,500,000 | 2,463.44 | 0.41% |
| Piramal Enterprises Ltd. (28/11/2013) ** # | ICRA A1+ | 2,500,000 | 2,461.59 | 0.41% |
| National Housing Bank (02/12/2013) ** # | CRISIL A1+ | 2,500,000 | 2,459.89 | 0.41% |
| Piramal Enterprises Ltd. ( 07/10/2013) ** # | ICRA A1+ | 400,000 | 399.28 | 0.07% |
| **Sub Total** | | | **292,762.07** | **48.54%** |
| **Total** | | | **544,824.08** | **90.34%** |
| **Others** | | | | |
| **Fixed Deposit** | **Duration (in Days)** | | | |
| 9.5% ICICI Bank Ltd. (03/10/2013) | 7 | | 5,000.00 | 0.83% |
| **Sub Total** | | | **5,000.00** | **0.83%** |
| **Total** | | | **5,000.00** | **0.83%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 70,500.11 | 11.69% |
| **Sub Total** | | | **70,500.11** | **11.69%** |
| **Total** | | | **70,500.11** | **11.69%** |
| **Net Receivables / (Payables)** | | | **(17,211.29)** | **(2.85)%** |
| **GRAND TOTAL** | | | **603,112.90** | **100.00%** |

** Non Traded Security for The Day

\# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 1,098.0000 | 1,098.0000 |
| Regular Growth Option | 2,126.4389 | 2,222.4847 |
| Direct Dividend Option | 1,098.0000 | 1,098.0000 |
| Direct Growth Option | 2,126.6217 | 2,223.6820 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

ii) Average Maturity Period - 37 days

iii) Dividend declared during the half year ended 30 September, 2013 under the dividend option of the Scheme is as follows:

| Option | Month | Rate of Dividend Per Unit | |
|---|---|---|---|
| | | Individual/HUF | Others |
| Regular Dividend Option | Apr-13 | 5.9620 | 5.7099 |
| Regular Dividend Option | May-13 | 5.7773 | 5.5330 |
| Regular Dividend Option | Jun-13 | 5.1216 | 4.9051 |
| Regular Dividend Option | Jul-13 | 4.7819 | 4.5797 |
| Regular Dividend Option | Aug-13 | 7.5051 | 7.1878 |
| Regular Dividend Option | Sep-13 | 7.9882 | 7.6505 |
| Direct Dividend Option | Apr-13 | 5.9971 | 5.7436 |
| Direct Dividend Option | May-13 | 5.8231 | 5.5769 |
| Direct Dividend Option | Jun-13 | 5.1873 | 4.9680 |
| Direct Dividend Option | Jul-13 | 4.8593 | 4.6538 |
| Direct Dividend Option | Aug-13 | 7.5762 | 7.2558 |
| Direct Dividend Option | Sep-13 | 8.0609 | 7.7201 |

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - 50 Cr

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF INDEX-SENSEX PLAN

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| ITC Ltd. | Consumer Non Durables | 49,709 | 169.16 | 11.28% |
| Infosys Ltd. | Software | 4,378 | 132.02 | 8.80% |
| Reliance Industries Ltd. | Petroleum Products | 15,923 | 130.94 | 8.73% |
| Housing Development Finance Corporation Ltd. | Finance | 13,974 | 106.70 | 7.11% |
| HDFC Bank Ltd. | Banks | 17,177 | 101.98 | 6.80% |
| Tata Consultancy Services Ltd. | Software | 5,246 | 101.07 | 6.74% |
| ICICI Bank Ltd. | Banks | 10,372 | 91.79 | 6.12% |
| Hindustan Unilever Ltd. | Consumer Non Durables | 9,657 | 60.59 | 4.04% |
| Larsen & Toubro Ltd. | Construction Project | 7,436 | 58.64 | 3.91% |
| Tata Motors Ltd. | Auto | 16,869 | 56.06 | 3.74% |
| Oil & Natural Gas Corporation Ltd. | Oil | 19,084 | 51.11 | 3.41% |
| Sun Pharmaceuticals Industries Ltd. | Pharmaceuticals | 7,398 | 43.84 | 2.92% |
| State Bank of India | Banks | 2,432 | 39.28 | 2.62% |
| Bharti Airtel Ltd. | Telecom - Services | 10,701 | 34.06 | 2.27% |
| Mahindra & Mahindra Ltd. | Auto | 4,108 | 34.01 | 2.27% |
| Wipro Ltd. | Software | 6,590 | 31.24 | 2.08% |
| NTPC Ltd. | Power | 18,387 | 27.25 | 1.82% |
| Dr. Reddy's Laboratories Ltd. | Pharmaceuticals | 1,140 | 27.17 | 1.81% |
| Bajaj Auto Ltd. | Auto | 1,297 | 25.79 | 1.72% |
| Sesa Sterlite Ltd. | Minerals/Mining | 11,903 | 21.51 | 1.43% |
| Cipla Ltd. | Pharmaceuticals | 4,615 | 19.94 | 1.33% |
| Hero MotoCorp Ltd. | Auto | 894 | 17.96 | 1.20% |

LIC NOMURA

MUTUAL FUND

Registered Office: Industrial Assurance Building, 4th Floor, Opp. Churchgate Station, Mumbai - 400 020, Tel: 022 - 22851661

# HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013
## (Pursuant to regulation 59A of SEBI Mutual Funds Regulations 1996)

## LIC NOMURA MF INDEX-SENSEX PLAN (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| Coal India Ltd. | Minerals/Mining | 5,643 | 16.65 | 1.11% |
| Maruti Suzuki India Ltd. | Auto | 1,220 | 16.57 | 1.10% |
| Tata Steel Ltd. | Ferrous Metals | 6,081 | 16.52 | 1.10% |
| GAIL (India) Ltd. | Gas | 4,460 | 14.57 | 0.97% |
| Hindalco Industries Ltd. | Non - Ferrous Metals | 12,003 | 13.44 | 0.90% |
| Tata Power Company Ltd. | Power | 14,882 | 12.07 | 0.80% |
| Bharat Heavy Electricals Ltd. | Industrial Capital Goods | 7,394 | 10.16 | 0.68% |
| Jindal Steel & Power Ltd. | Ferrous Metals | 3,755 | 8.84 | 0.59% |
| **Sub Total** | | | **1,490.90** | **99.42%** |
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **1,490.90** | **99.42%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 14.80 | 0.99% |
| **Sub Total** | | | **14.80** | **0.99%** |
| **Total** | | | **14.80** | **0.99%** |
| **Net Receivables / (Payables)** | | | **(6.04)** | **(0.40)%** |
| **GRAND TOTAL** | | | **1,499.65** | **100.00%** |

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 12.1393 | 12.5673 |
| Regular Growth Option | 35.4171 | 36.6673 |
| Direct Dividend Option | 11.9243 | 12.3763 |
| Direct Growth Option | 35.4482 | 36.8003 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days
i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Portfolio Turnover Ratio - 0.07 times
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF INDEX-NIFTY PLAN

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| ITC Ltd. | Consumer Non Durables | 74,155 | 252.42 | 9.99% |
| Infosys Ltd. | Software | 6,502 | 195.91 | 7.75% |
| Reliance Industries Ltd. | Petroleum Products | 22,142 | 182.10 | 7.21% |
| Housing Development Finance Corporation Ltd. | Finance | 20,999 | 160.48 | 6.35% |
| HDFC Bank Ltd. | Banks | 24,905 | 147.70 | 5.84% |
| ICICI Bank Ltd. | Banks | 15,564 | 137.53 | 5.44% |
| Tata Consultancy Services Ltd. | Software | 6,874 | 132.52 | 5.24% |
| Larsen & Toubro Ltd. | Construction Project | 10,970 | 86.53 | 3.42% |
| Tata Motors Ltd. | Auto | 24,231 | 80.57 | 3.19% |
| Oil & Natural Gas Corporation Ltd. | Oil | 23,863 | 63.92 | 2.53% |
| Sun Pharmaceuticals Industries Ltd. | Pharmaceuticals | 10,145 | 60.19 | 2.38% |
| Hindustan Unilever Ltd. | Consumer Non Durables | 9,541 | 59.87 | 2.37% |
| State Bank of India | Banks | 3,476 | 56.13 | 2.22% |
| Mahindra & Mahindra Ltd. | Auto | 6,200 | 51.30 | 2.03% |
| Bharti Airtel Ltd. | Telecom - Services | 16,049 | 51.14 | 2.02% |
| Axis Bank Ltd. | Banks | 4,180 | 42.13 | 1.67% |
| Wipro Ltd. | Software | 8,710 | 41.20 | 1.63% |
| NTPC Ltd. | Power | 27,801 | 40.99 | 1.62% |
| Dr. Reddy's Laboratories Ltd. | Pharmaceuticals | 1,708 | 40.67 | 1.61% |
| HCL Technologies Ltd. | Software | 3,584 | 38.97 | 1.54% |
| Bajaj Auto Ltd. | Auto | 1,810 | 35.97 | 1.42% |
| Kotak Mahindra Bank Ltd. | Banks | 5,114 | 34.68 | 1.37% |
| Sesa Sterlite Ltd. | Minerals/Mining | 16,669 | 30.13 | 1.19% |
| Cipla Ltd. | Pharmaceuticals | 6,843 | 29.60 | 1.17% |
| Asian Paints Ltd. | Consumer Non Durables | 6,108 | 28.05 | 1.11% |
| Lupin Ltd. | Pharmaceuticals | 3,213 | 27.50 | 1.09% |
| Hero MotoCorp Ltd. | Auto | 1,287 | 25.86 | 1.02% |
| Cairn India Ltd. | Oil | 7,977 | 25.43 | 1.01% |
| Coal India Ltd. | Minerals/Mining | 8,518 | 25.08 | 0.99% |
| Ultratech Cement Ltd. | Cement | 1,370 | 24.79 | 0.98% |
| Tata Steel Ltd. | Ferrous Metals | 8,991 | 24.42 | 0.97% |
| Maruti Suzuki India Ltd. | Auto | 1,784 | 24.24 | 0.96% |
| Grasim Industries Ltd. | Cement | 858 | 22.70 | 0.90% |
| Indusind Bank Ltd. | Banks | 5,696 | 21.00 | 0.83% |
| GAIL (India) Ltd. | Gas | 6,058 | 19.86 | 0.79% |
| Hindalco Industries Ltd. | Non - Ferrous Metals | 17,346 | 19.41 | 0.77% |
| Power Grid Corporation of India Ltd. | Power | 19,093 | 18.72 | 0.74% |
| Ambuja Cements Ltd. | Cement | 10,239 | 18.69 | 0.74% |
| Tata Power Company Ltd. | Power | 21,612 | 17.54 | 0.69% |
| Bharat Heavy Electricals Ltd. | Industrial Capital Goods | 10,654 | 14.64 | 0.58% |
| Infrastructure Development Finance Company Ltd. | Finance | 16,569 | 14.59 | 0.58% |
| ACC Ltd. | Cement | 1,259 | 14.00 | 0.55% |
| NMDC Ltd. | Minerals/Mining | 10,691 | 12.84 | 0.51% |
| Bank of Baroda | Banks | 2,533 | 12.50 | 0.49% |
| Jindal Steel & Power Ltd. | Ferrous Metals | 5,153 | 12.14 | 0.48% |
| Bharat Petroleum Corporation Ltd. | Petroleum Products | 3,485 | 11.54 | 0.46% |
| Punjab National Bank | Banks | 2,008 | 9.27 | 0.37% |
| DLF Ltd. | Construction | 5,931 | 7.59 | 0.30% |
| Ranbaxy Laboratories Ltd. | Pharmaceuticals | 2,053 | 6.78 | 0.27% |
| Jaiprakash Associates Ltd. | Construction | 16,180 | 5.65 | 0.22% |
| **Sub Total** | | | **2,517.46** | **99.62%** |
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **2,517.46** | **99.62%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 31.15 | 1.23% |
| **Sub Total** | | | **31.15** | **1.23%** |
| **Total** | | | **31.15** | **1.23%** |
| **Net Receivables / (Payables)** | | | **(21.62)** | **(0.86)%** |
| **GRAND TOTAL** | | | **2,527.00** | **100.00%** |

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 11.6597 | 11.8098 |
| Regular Growth Option | 31.6088 | 32.0160 |
| Direct Dividend Option | 11.6679 | 11.8480 |
| Direct Growth Option | 31.6462 | 32.1323 |

## LIC NOMURA MF INDEX-NIFTY PLAN (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days
i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Portfolio Turnover Ratio - 0.13 times
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF INDEX-SENSEX ADVANTAGE PLAN

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| ITC Ltd. | Consumer Non Durables | 9,122 | 31.04 | 9.73% |
| Infosys Ltd. | Software | 795 | 23.97 | 7.52% |
| Reliance Industries Ltd. | Petroleum Products | 2,887 | 23.74 | 7.44% |
| Housing Development Finance Corporation Ltd. | Finance | 2,543 | 19.42 | 6.09% |
| Tata Consultancy Services Ltd. | Software | 989 | 19.06 | 5.97% |
| HDFC Bank Ltd. | Banks | 3,172 | 18.83 | 5.90% |
| ICICI Bank Ltd. | Banks | 1,882 | 16.65 | 5.22% |
| Hindustan Unilever Ltd. | Consumer Non Durables | 1,778 | 11.16 | 3.50% |
| Larsen & Toubro Ltd. | Construction Project | 1,356 | 10.69 | 3.35% |
| Tata Motors Ltd. | Auto | 3,085 | 10.25 | 3.21% |
| HCL Technologies Ltd. | Software | 897 | 9.76 | 3.06% |
| Kotak Mahindra Bank Ltd. | Banks | 1,440 | 9.75 | 3.06% |
| Oil & Natural Gas Corporation Ltd. | Oil | 3,380 | 9.05 | 2.84% |
| Sun Pharmaceuticals Industries Ltd. | Pharmaceuticals | 1,344 | 7.96 | 2.50% |
| Lupin Ltd. | Pharmaceuticals | 859 | 7.34 | 2.30% |
| State Bank of India | Banks | 429 | 6.93 | 2.17% |
| Bharti Airtel Ltd. | Telecom - Services | 2,082 | 6.63 | 2.08% |
| Mahindra & Mahindra Ltd. | Auto | 754 | 6.24 | 1.96% |
| Idea Cellular Ltd. | Telecom - Services | 3,114 | 5.24 | 1.64% |
| Bajaj Auto Ltd. | Auto | 258 | 5.13 | 1.61% |
| Wipro Ltd. | Software | 992 | 4.70 | 1.47% |
| Petronet LNG Ltd. | Gas | 3,630 | 4.30 | 1.35% |
| NTPC Ltd. | Power | 2,902 | 4.30 | 1.35% |
| Dr. Reddy's Laboratories Ltd. | Pharmaceuticals | 169 | 4.03 | 1.26% |
| Cipla Ltd. | Pharmaceuticals | 931 | 4.02 | 1.26% |
| Cairn India Ltd. | Oil | 1,100 | 3.50 | 1.10% |
| Maruti Suzuki India Ltd. | Auto | 257 | 3.49 | 1.09% |
| Coal India Ltd. | Minerals/Mining | 1,129 | 3.33 | 1.04% |
| Tata Steel Ltd. | Ferrous Metals | 1,213 | 3.29 | 1.03% |
| Hero MotoCorp Ltd. | Auto | 162 | 3.25 | 1.02% |
| GAIL (India) Ltd. | Gas | 906 | 2.96 | 0.93% |
| Sesa Sterlite Ltd. | Minerals/Mining | 1,606 | 2.90 | 0.91% |
| Hindalco Industries Ltd. | Non - Ferrous Metals | 2,396 | 2.68 | 0.84% |
| Tata Power Company Ltd. | Power | 2,966 | 2.41 | 0.75% |
| Sadbhav Engineering Ltd. | Construction Project | 3,200 | 1.92 | 0.60% |
| Bharat Heavy Electricals Ltd. | Industrial Capital Goods | 1,329 | 1.83 | 0.57% |
| Jindal Steel & Power Ltd. | Ferrous Metals | 750 | 1.77 | 0.55% |
| **Sub Total** | | | **313.54** | **98.29%** |
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **313.54** | **98.29%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 7.28 | 2.28% |
| **Sub Total** | | | **7.28** | **2.28%** |
| **Total** | | | **7.28** | **2.28%** |
| **Net Receivables / (Payables)** | | | **(1.84)** | **(0.58)%** |
| **GRAND TOTAL** | | | **318.98** | **100.00%** |

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 11.7571 | 12.1794 |
| Regular Growth Option | 33.2363 | 34.4301 |
| Direct Dividend Option | 11.7714 | 12.2270 |
| Direct Growth Option | 33.2158 | 34.5139 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days
i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Portfolio Turnover Ratio - 0.03 times
iii) Dividend declared during the half year ended 30 September, 2013 - Nil
iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF SAVINGS PLUS FUND

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER, 30,2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Vijaya Bank (20/03/2014) ** # | CARE A1+ | 2,240,000 | 2,144.08 | 9.47% |
| IDBI Bank Ltd. (06/03/2014) ** # | CRISIL A1+ | 2,000,000 | 1,921.13 | 8.49% |
| Central Bank of India (18/03/2014) ** # | CARE A1+ | 1,500,000 | 1,436.03 | 6.35% |
| IDBI Bank Ltd. (21/03/2014) ** # | CRISIL A1+ | 1,500,000 | 1,435.41 | 6.34% |
| South Indian Bank Ltd. (21/03/2014) ** # | CARE A1+ | 1,500,000 | 1,434.41 | 6.34% |
| Bank of India (04/03/2014) ** # | CRISIL A1+ | 1,000,000 | 961.05 | 4.25% |
| Axis Bank Ltd. (14/08/2014) ** # | CRISIL A1+ | 1,000,000 | 922.95 | 4.08% |
| Central Bank of India (13/08/2014) ** # | CARE A1+ | 900,000 | 829.89 | 3.67% |
| Allahabad Bank (06/03/2014) ** # | ICRA A1+ | 200,000 | 192.11 | 0.85% |
| **Sub Total** | | | **11,277.08** | **49.83%** |
| **Commercial Paper** | | | | |
| Indiabulls Housing Finance Ltd. (12/11/2013) ** # | CRISIL A1+ | 5,000,000 | 4,937.97 | 21.82% |
| Infrastructure Development Finance Company Ltd. (22/11/2013) ** # | ICRA A1+ | 2,000,000 | 1,972.53 | 8.72% |
| Indiabulls Housing Finance Ltd. (15/11/2013) ** # | CRISIL A1+ | 1,250,000 | 1,233.43 | 5.45% |
| Reliance Capital Ltd. (06/12/2013) ** # | CRISIL A1+ | 800,000 | 785.96 | 3.47% |
| Reliance Capital Ltd. (01/11/2013) ** # | CRISIL A1+ | 500,000 | 495.29 | 2.19% |
| **Sub Total** | | | **9,425.18** | **41.65%** |
| **Total** | | | **20,702.27** | **91.47%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 2,285.50 | 10.10% |
| **Sub Total** | | | **2,285.50** | **10.10%** |
| **Total** | | | **2,285.50** | **10.10%** |
| **Net Receivables / (Payables)** | | | **(355.91)** | **(1.57)%** |
| **GRAND TOTAL** | | | **22,631.85** | **100.00%** |

## LIC NOMURA MF SAVINGS PLUS FUND (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER, 30,2013

** Non Traded Security for The Day
\# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Daily Dividend Option | 10.0500 | 10.0500 |
| Regular Monthly Dividend Option | 10.0541 | 10.0641 |
| Regular Weekly Dividend Option | 10.0541 | 10.0691 |
| Regular Growth Dividend Option | 18.2067 | 18.9462 |
| Direct Daily Dividend Option | 10.0500 | 10.0500 |
| Dirtect Monthly Dividend Option | 10.0544 | 10.0740 |
| Direct Weekly Dividend Option | 10.0544 | 10.0787 |
| Direct Growrh Dividend Option | 18.2273 | 19.0203 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days
i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 114 days
iii) Dividend declared during the half year ended 30 September, 2013 under the dividend option of the Scheme is as follows:

| Option | Month | Rate of Dividend Per Unit | |
|---|---|---|---|
| | | Individual/HUF | Others |
| Regular Daily Dividend Option | Apr-13 | 0.0595 | 0.0507 |
| Regular Daily Dividend Option | May-13 | 0.0533 | 0.0455 |
| Regular Daily Dividend Option | Jun-13 | 0.0434 | 0.0416 |
| Regular Daily Dividend Option | Jul-13 | 0.0231 | 0.0221 |
| Regular Daily Dividend Option | Aug-13 | 0.0432 | 0.0413 |
| Regular Daily Dividend Option | Sep-13 | 0.1019 | 0.0975 |
| Regular Weekly Dividend Option | Apr-13 | 0.0632 | 0.0538 |
| Regular Weekly Dividend Option | May-13 | 0.0486 | 0.0414 |
| Regular Weekly Dividend Option | Jun-13 | 0.0422 | 0.0404 |
| Regular Weekly Dividend Option | Jul-13 | 0.0203 | 0.0194 |
| Regular Weekly Dividend Option | Aug-13 | 0.0482 | 0.0462 |
| Regular Weekly Dividend Option | Sep-13 | 0.0904 | 0.0866 |
| Regular Monthly Dividend Option | Apr-13 | 0.0547 | 0.0466 |
| Regular Monthly Dividend Option | May-13 | 0.0472 | 0.0402 |
| Regular Monthly Dividend Option | Jun-13 | 0.0528 | 0.0505 |
| Regular Monthly Dividend Option | Aug-13 | 0.0655 | 0.0627 |
| Regular Monthly Dividend Option | Sep-13 | 0.0964 | 0.0923 |
| Direct Daily Dividend Option | Apr-13 | 0.0649 | 0.0553 |
| Direct Daily Dividend Option | May-13 | 0.0572 | 0.0487 |
| Direct Daily Dividend Option | Jun-13 | 0.0467 | 0.0447 |
| Direct Daily Dividend Option | Jul-13 | 0.0244 | 0.0234 |
| Direct Daily Dividend Option | Aug-13 | 0.0487 | 0.0466 |
| Direct Daily Dividend Option | Sep-13 | 0.1054 | 0.1010 |
| Direct Weekly Dividend Option | Apr-13 | 0.0674 | 0.0574 |
| Direct Weekly Dividend Option | May-13 | 0.0520 | 0.0443 |
| Direct Weekly Dividend Option | Jun-13 | 0.0454 | 0.0435 |
| Direct Weekly Dividend Option | Jul-13 | 0.0220 | 0.0210 |
| Direct Weekly Dividend Option | Aug-13 | 0.0542 | 0.0519 |
| Direct Weekly Dividend Option | Sep-13 | 0.0866 | 0.0829 |
| Direct Monthly Dividend Option | Apr-13 | 0.0540 | 0.0460 |
| Direct Monthly Dividend Option | May-13 | 0.0503 | 0.0428 |
| Direct Monthly Dividend Option | Jun-13 | 0.0570 | 0.0546 |
| Direct Monthly Dividend Option | Jul-13 | 0.0019 | 0.0019 |
| Direct Monthly Dividend Option | Aug-13 | 0.0711 | 0.0681 |
| Direct Monthly Dividend Option | Sep-13 | 0.1028 | 0.0984 |

iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF FLOATING RATE FUND-SHORT TERM PLAN

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry / Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Others** | | | | |
| **Mutual Fund Units** | | | | |
| LIC Nomura MF Liquid Fund-Regular Plan-Gr option | | 48,372 | 1,075.06 | 34.88% |
| **Sub Total** | | | **1,075.06** | **34.88%** |
| **Total** | | | **1,075.06** | **34.88%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 1,997.58 | 64.81% |
| **Sub Total** | | | **1,997.58** | **64.81%** |
| **Total** | | | **1,997.58** | **64.81%** |
| **Net Receivables / (Payables)** | | | **9.71** | **0.32%** |
| **GRAND TOTAL** | | | **3,082.36** | **100.00%** |

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Daily Dividend Option | 10.0500 | 10.0500 |
| Regular Weekly Dividend Option | 10.1453 | 10.2718 |
| Regular Growth Dividend Option | 18.6799 | 19.4074 |
| Direct Daily Dividend Option | 10.0523 | 10.0500 |
| Direct Weekly Dividend Option | 10.1454 | 10.2531 |
| Direct Growrh Dividend Option | 18.6891 | 19.4418 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days
i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil
ii) Average Maturity Period - 1 days
iii) Dividend declared during the half year ended 30 September, 2013 under the dividend option of the Scheme is as follows:

| Option | Month | Rate of Dividend Per Uni | |
|---|---|---|---|
| | | Individual/HUF | Others |
| Regular Daily Dividend Option | Apr-13 | 0.0584 | 0.0498 |
| Regular Daily Dividend Option | May-13 | 0.0496 | 0.0422 |
| Regular Daily Dividend Option | Jun-13 | 0.0393 | 0.0376 |
| Regular Daily Dividend Option | Jul-13 | 0.0418 | 0.0401 |
| Regular Daily Dividend Option | Aug-13 | 0.0593 | 0.0568 |
| Regular Daily Dividend Option | Sep-13 | 0.0625 | 0.0599 |
| Regular Weekly Dividend Option | Apr-13 | 0.0636 | 0.0542 |
| Regular Weekly Dividend Option | May-13 | 0.0378 | 0.0322 |
| Regular Weekly Dividend Option | Jun-13 | 0.0254 | 0.0243 |
| Regular Weekly Dividend Option | Jul-13 | 0.0300 | 0.0287 |
| Regular Weekly Dividend Option | Aug-13 | 0.0248 | 0.0237 |
| Regular Weekly Dividend Option | Sep-13 | 0.0341 | 0.0327 |
| Direct Daily Dividend Option | Apr-13 | 0.0625 | 0.0532 |
| Direct Daily Dividend Option | May-13 | 0.0514 | 0.0438 |
| Direct Daily Dividend Option | Jun-13 | 0.0408 | 0.0391 |
| Direct Daily Dividend Option | Jul-13 | 0.0436 | 0.0418 |
| Direct Daily Dividend Option | Aug-13 | 0.0616 | 0.0590 |
| Direct Daily Dividend Option | Sep-13 | 0.0641 | 0.0614 |
| Direct Weekly Dividend Option | Apr-13 | 0.0654 | 0.0558 |
| Direct Weekly Dividend Option | May-13 | 0.0547 | 0.0466 |
| Direct Weekly Dividend Option | Jun-13 | 0.0284 | 0.0272 |
| Direct Weekly Dividend Option | Jul-13 | 0.0322 | 0.0308 |
| Direct Weekly Dividend Option | Aug-13 | 0.0354 | 0.0339 |
| Direct Weekly Dividend Option | Sep-13 | 0.0357 | 0.0342 |

iv) No Bonus declared during the period ended 30 September, 2013
v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil
vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositar Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.
vii) Funds parked in short term deposit as on 30 September, 2013 - Nil
viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

# LIC NOMURA MUTUAL FUND

Registered Office: Industrial Assurance Building, 4th Floor, Opp. Churchgate Station, Mumbai - 400 020, Tel: 022 - 22851661

# HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013
## (Pursuant to regulation 59A of SEBI Mutual Funds Regulations 1996)

## LIC NOMURA MF FLOATER MIP

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry / Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| HDFC Bank Ltd. | Banks | 16,000 | 94.89 | 1.99% |
| Mahindra & Mahindra Ltd. | Auto | 8,000 | 66.20 | 1.39% |
| ITC Ltd. | Consumer Non Durables | 17,800 | 60.59 | 1.27% |
| Housing Development Finance Corporation Ltd. | Finance | 7,093 | 54.21 | 1.14% |
| ICICI Bank Ltd. | Banks | 5,900 | 52.14 | 1.09% |
| Cipla Ltd. | Pharmaceuticals | 12,006 | 51.93 | 1.09% |
| Infosys Ltd. | Software | 1,400 | 42.18 | 0.88% |
| Biocon Ltd. | Pharmaceuticals | 10,557 | 35.65 | 0.75% |
| Maruti Suzuki India Ltd. | Auto | 1,650 | 22.42 | 0.47% |
| Reliance Industries Ltd. | Petroleum Products | 2,500 | 20.56 | 0.43% |
| Kotak Mahindra Bank Ltd. | Banks | 3,000 | 20.34 | 0.43% |
| Ultratech Cement Ltd. | Cement | 1,100 | 19.90 | 0.42% |
| Tata Consultancy Services Ltd. | Software | 800 | 15.42 | 0.32% |
| Sun Pharmaceuticals Industries Ltd. | Pharmaceuticals | 2,400 | 14.24 | 0.30% |
| Dr. Reddy's Laboratories Ltd. | Pharmaceuticals | 500 | 11.91 | 0.25% |
| Asian Paints Ltd. | Consumer Non Durables | 2,000 | 9.19 | 0.19% |
| Tata Motors Ltd. | Auto | 1,700 | 5.65 | 0.12% |
| Lupin Ltd. | Pharmaceuticals | 600 | 5.14 | 0.11% |
| Ambuja Cements Ltd. | Cement | 2,200 | 4.02 | 0.08% |
| Cairn India Ltd. | Oil | 700 | 2.23 | 0.05% |
| Bajaj Auto Ltd. | Auto | 100 | 1.99 | 0.04% |
| Indusind Bank Ltd. | Banks | 250 | 0.92 | 0.02% |
| **Sub Total** | | | **611.70** | **12.81%** |
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **611.70** | **12.81%** |
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Oriental Bank of Commerce (22/09/2014) ** # | CRISIL A1+ | 1,100,000 | 1,005.71 | 21.07% |
| **Sub Total** | | | **1,005.71** | **21.07%** |
| **Commercial Paper** | | | | |
| Housing Development Finance Corporation Ltd. (08/10/2013) ** # | ICRA A1+ | 1,000,000 | 997.75 | 20.90% |
| IL&FS Financial Services Ltd. (17/09/2014) ** # | CARE A1+ | 850,000 | 778.08 | 16.30% |
| Infrastructure Development Finance Company Ltd. (10/03/2014) ** # | ICRA A1+ | 500,000 | 479.80 | 10.05% |
| Power Finance Corporation Ltd. (15/07/2014) ** # | CRISIL A1+ | 400,000 | 371.89 | 7.79% |
| Godrej Industries Ltd. (06/12/2013) ** # | ICRA A1+ | 125,000 | 122.86 | 2.57% |
| **Sub Total** | | | **2,750.37** | **57.61%** |
| **Total** | | | **3,756.08** | **78.68%** |
| **Others** | | | | |
| **Mutual Fund Units** | | | | |
| LIC Nomura MF Liquid Fund-Direct Plan-Gr Option | | 14,513 | 322.73 | 6.76% |
| **Sub Total** | | | **322.73** | **6.76%** |
| **Total** | | | **322.73** | **6.76%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 177.20 | 3.71% |
| **Sub Total** | | | **177.20** | **3.71%** |
| **Total** | | | **177.20** | **3.71%** |
| **Net Receivables / (Payables)** | | | **(93.80)** | **(1.96)%** |
| **GRAND TOTAL** | | | **4,773.91** | **100.00%** |

** Non Traded Security for The Day
# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Monthly Dividend Option | 10.1914 | 10.1947 |
| Regular Quaterly Dividend Option | 10.2493 | 10.2245 |
| Regular Yearly Dividend Option | 10.7487 | 11.0397 |
| Regular Growth Dividend Option | 20.2946 | 20.8438 |
| Direct Monthly Dividend Option | N.A. | 10.2366 |
| Direct Quaterly Dividend Option | 10.2480 | 10.2243 |
| Direct Yearly Dividend Option | 10.7476 | 11.0405 |
| Direct Growrh Dividend Option | 19.3229 | 19.8472 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

ii) Average Maturity Period - 175 days

iii) Dividend declared during the half year ended 30 September, 2013 under the dividend option of the Scheme is as follows

| Option | Month | Rate of Dividend Per Unit | |
|---|---|---|---|
| | | Individual/HUF | Others |
| Regular Monthly Dividend Option | 1 Apr-13 | 0.0613 | 0.0522 |
| Regular Monthly Dividend Option | 2 May-13 | 0.0876 | 0.0746 |
| Regular Monthly Dividend Option | 3 Jun-13 | 0.0390 | 0.0373 |
| Regular Monthly Dividend Option | 4 Jul-13 | 0.0390 | 0.0373 |
| Regular Quarterly Dividend Option | 3 Jun-13 | 0.1559 | 0.1493 |
| Regular Quarterly Dividend Option | 6 Sep-13 | 0.0779 | 0.0746 |
| Direct Quarterly Dividend | 3 Jun-13 | 0.1559 | 0.1493 |
| Direct Quarterly Dividend | 6 Sep-13 | 0.0779 | 0.0746 |

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - Nil

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF INCOME PLUS FUND

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Allahabad Bank (06/03/2014) ** # | ICRA A1+ | 2,300,000 | 2,209.30 | 17.11% |
| ING Vysya Bank Ltd. (01/11/2013) ** # | CRISIL A1+ | 1,500,000 | 1,486.40 | 11.51% |
| Bank of India (04/03/2014) ** # | CRISIL A1+ | 1,500,000 | 1,441.58 | 11.16% |
| Central Bank of India (13/08/2014) ** # | CARE A1+ | 1,100,000 | 1,014.31 | 7.85% |
| Axis Bank Ltd. (14/08/2014) ** # | CRISIL A1+ | 700,000 | 646.06 | 5.00% |
| IDBI Bank Ltd. (06/03/2014) ** # | CRISIL A1+ | 500,000 | 480.28 | 3.72% |
| Andhra Bank (27/12/2013) ** # | CARE A1+ | 400,000 | 391.00 | 3.03% |
| State Bank of Travancore (09/09/2014) ** # | CRISIL A1+ | 240,000 | 220.12 | 1.70% |
| **Sub Total** | | | **7,889.06** | **61.08%** |
| **Commercial Paper** | | | | |
| Reliance Capital Ltd. (06/12/2013) ** # | CRISIL A1+ | 1,700,000 | 1,670.16 | 12.93% |

## LIC NOMURA MF INCOME PLUS FUND (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| Indiabulls Housing Finance Ltd. (15/11/2013) ** # | CRISIL A1+ | 1,250,000 | 1,233.43 | 9.55% |
| **Sub Total** | | | **2,903.59** | **22.48%** |
| **Total** | | | **10,792.65** | **83.56%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 2,622.17 | 20.30% |
| **Sub Total** | | | **2,622.17** | **20.30%** |
| **Total** | | | **2,622.17** | **20.30%** |
| **Net Receivables / (Payables)** | | | **-499.39** | **(3.87)%** |
| **GRAND TOTAL** | | | **12,915.44** | **100.00%** |

** Non Traded Security for The Day
# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Daily Dividend Option | 10.0500 | 10.0500 |
| Regular Monthly Dividend Option | 10.0543 | 10.0655 |
| Regular Weekly Dividend Option | 10.0543 | 10.0703 |
| Regular Growth Dividend Option | 15.4450 | 16.0597 |
| Direct Daily Dividend Option | 10.0500 | 10.0500 |
| Dirtect Monthly Dividend Option | 10.0544 | 10.0659 |
| Direct Weekly Dividend Option | 10.0545 | 10.0708 |
| Direct Growrh Dividend Option | 15.4486 | 16.0869 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

ii) Average Maturity Period - 116 days

iii) Dividend declared during the half year ended 30 September, 2013 under the dividend option of the Scheme is as follows

| Option | Month | Rate of Dividend Per Unit | |
|---|---|---|---|
| | | Individual/HUF | Others |
| Regular Daily Dividend Option | Apr-13 | 0.0553 | 0.0471 |
| Regular Daily Dividend Option | May-13 | 0.0515 | 0.0439 |
| Regular Daily Dividend Option | Jun-13 | 0.0456 | 0.0437 |
| Regular Daily Dividend Option | Jul-13 | 0.0238 | 0.0228 |
| Regular Daily Dividend Option | Aug-13 | 0.0379 | 0.0364 |
| Regular Daily Dividend Option | Sep-13 | 0.1033 | 0.0990 |
| Regular Weekly Dividend Option | Apr-13 | 0.0591 | 0.0504 |
| Regular Weekly Dividend Option | May-13 | 0.0468 | 0.0399 |
| Regular Weekly Dividend Option | Jun-13 | 0.0432 | 0.0413 |
| Regular Weekly Dividend Option | Jul-13 | 0.0220 | 0.0210 |
| Regular Weekly Dividend Option | Aug-13 | 0.0459 | 0.0440 |
| Regular Weekly Dividend Option | Sep-13 | 0.0882 | 0.0845 |
| Regular Monthly Dividend Option | Apr-13 | 0.0507 | 0.0432 |
| Regular Monthly Dividend Option | May-13 | 0.0453 | 0.0386 |
| Regular Monthly Dividend Option | Jun-13 | 0.0539 | 0.0516 |
| Regular Monthly Dividend Option | Aug-13 | 0.0607 | 0.0581 |
| Regular Monthly Dividend Option | Sep-13 | 0.0982 | 0.0940 |
| Direct Daily Dividend Option | Apr-13 | 0.0574 | 0.0489 |
| Direct Daily Dividend Option | May-13 | 0.0535 | 0.0456 |
| Direct Daily Dividend Option | Jun-13 | 0.0474 | 0.0454 |
| Direct Daily Dividend Option | Jul-13 | 0.0249 | 0.0239 |
| Direct Daily Dividend Option | Aug-13 | 0.0407 | 0.0390 |
| Direct Daily Dividend Option | Sep-13 | 0.1056 | 0.1011 |
| Direct Weekly Dividend Option | Apr-13 | 0.0612 | 0.0522 |
| Direct Weekly Dividend Option | May-13 | 0.0485 | 0.0413 |
| Direct Weekly Dividend Option | Jun-13 | 0.0450 | 0.0431 |
| Direct Weekly Dividend Option | Jul-13 | 0.0229 | 0.0219 |
| Direct Weekly Dividend Option | Aug-13 | 0.0491 | 0.0470 |
| Direct Weekly Dividend Option | Sep-13 | 0.0901 | 0.0863 |
| Direct Monthly Dividend Option | Apr-13 | 0.0526 | 0.0448 |
| Direct Monthly Dividend Option | May-13 | 0.0470 | 0.0400 |
| Direct Monthly Dividend Option | Jun-13 | 0.0561 | 0.0537 |
| Direct Monthly Dividend Option | Jul-13 | 0.0005 | 0.0005 |
| Direct Monthly Dividend Option | Aug-13 | 0.0642 | 0.0615 |
| Direct Monthly Dividend Option | Sep-13 | 0.1001 | 0.0958 |

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - Nil

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF INFRASTRUCTURE FUND

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Industry | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Equity & Equity related** | | | | |
| **(a) Listed / awaiting listing on Stock Exchanges** | | | | |
| Larsen & Toubro Ltd. | Construction Project | 64,500 | 508.74 | 8.19% |
| Reliance Industries Ltd. | Petroleum Products | 58,400 | 480.28 | 7.73% |
| ICICI Bank Ltd. | Banks | 52,800 | 466.57 | 7.51% |
| HDFC Bank Ltd. | Banks | 77,700 | 460.80 | 7.42% |
| Housing Development Finance Corporation Ltd. | Finance | 45,800 | 350.03 | 5.63% |
| Oil & Natural Gas Corporation Ltd. | Oil | 129,401 | 346.60 | 5.58% |
| Idea Cellular Ltd. | Telecom - Services | 204,100 | 343.40 | 5.53% |
| NTPC Ltd. | Power | 201,400 | 296.96 | 4.78% |
| State Bank of India | Banks | 16,100 | 260.00 | 4.19% |
| Bharti Airtel Ltd. | Telecom - Services | 75,900 | 241.86 | 3.89% |
| NMDC Ltd. | Minerals/Mining | 146,300 | 175.71 | 2.83% |
| Crompton Greaves Ltd. | Industrial Capital Goods | 203,119 | 174.78 | 2.81% |
| Petronet LNG Ltd. | Gas | 146,797 | 174.25 | 2.80% |
| Gujarat Pipavav Port Ltd. | Transportation | 359,988 | 166.13 | 2.67% |
| Container Corporation of India Ltd. | Transportation | 23,113 | 165.75 | 2.67% |
| Ultratech Cement Ltd. | Cement | 9,000 | 162.84 | 2.62% |
| Infrastructure Development Finance Company Ltd. | Finance | 170,184 | 149.85 | 2.41% |
| SKF India Ltd. | Industrial Products | 29,022 | 146.18 | 2.35% |
| Tata Steel Ltd. | Ferrous Metals | 53,000 | 143.92 | 2.32% |
| Tata Power Company Ltd. | Power | 161,300 | 130.89 | 2.11% |
| Gujarat Mineral Development Corporation Ltd. | Minerals/Mining | 137,767 | 123.85 | 1.99% |
| Bharat Petroleum Corporation Ltd. | Petroleum Products | 37,294 | 123.54 | 1.99% |
| Coal India Ltd. | Minerals/Mining | 37,100 | 109.24 | 1.76% |
| Power Finance Corporation Ltd. | Finance | 80,400 | 105.24 | 1.69% |
| Sadbhav Engineering Ltd. | Construction Project | 151,302 | 90.78 | 1.46% |
| Adani Ports and Special Economic Zone Ltd. | Transportation | 59,800 | 82.55 | 1.33% |
| Cummins India Ltd. | Industrial Products | 15,400 | 62.87 | 1.01% |
| Cairn India Ltd. | Oil | 11,800 | 37.62 | 0.61% |
| Thermax Ltd. | Industrial Capital Goods | 5,500 | 32.23 | 0.52% |
| **Sub Total** | | | **6,113.48** | **98.41%** |

## LIC NOMURA MF INFRASTRUCTURE FUND (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30,2013

| Name of the Instrument | Industry | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **(b) Unlisted** | | | **NIL** | **NIL** |
| **Sub Total** | | | **NIL** | **NIL** |
| **Total** | | | **6,113.48** | **98.41%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 129.79 | 2.09% |
| **Sub Total** | | | **129.79** | **2.09%** |
| **Total** | | | **129.79** | **2.09%** |
| **Net Receivables / (Payables)** | | | **(30.76)** | **(0.50)%** |
| **GRAND TOTAL** | | | **6,212.51** | **100.00%** |

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 7.7010 | 7.0007 |
| Regular Growth Option | 7.7010 | 7.0007 |
| Direct Dividend Option | 7.7044 | 7.0214 |
| Direct Growth Option | 7.7068 | 7.0242 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

ii) Portfolio Turnover Ratio - 0.19 times

iii) Dividend declared during the half year ended 30 September, 2013 - Nil

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - Nil

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF INTERVAL FUND QUARTERLY PLAN SERIES 1

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| ING Vysya Bank Ltd. (01/11/2013) ** # | CRISIL A1+ | 1,000,000 | 990.93 | 24.20% |
| The Jammu & Kashmir Bank Ltd. (01/11/2013) ** # | CARE A1+ | 1,000,000 | 990.92 | 24.20% |
| Axis Bank Ltd. (01/11/2013) ** # | CRISIL A1+ | 500,000 | 495.49 | 12.10% |
| **Sub Total** | | | **2,477.34** | **60.50%** |
| **Commercial Paper** | | | | |
| Gruh Finance Ltd. (01/11/2013) ** # | CRISIL A1+ | 1,000,000 | 990.99 | 24.20% |
| Reliance Capital Ltd. (01/11/2013) ** # | CRISIL A1+ | 500,000 | 495.29 | 12.10% |
| **Sub Total** | | | **1,486.28** | **36.30%** |
| **Total** | | | **3,963.62** | **96.80%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 132.17 | 3.23% |
| **Sub Total** | | | **132.17** | **3.23%** |
| **Total** | | | **132.17** | **3.23%** |
| **Net Receivables / (Payables)** | | | **-1.16** | **(0.03)%** |
| **GRAND TOTAL** | | | **4,094.63** | **100.00%** |

** Non Traded Security for The Day
# Unlisted Security

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 10.1203 | 10.1620 |
| Regular Growth Option | 14.8115 | 15.4498 |
| Direct Growth Option | 14.8119 | 15.4528 |
| Direct Dividend Option | N.A. | 10.1631 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

ii) Average Maturity Period - 31 days

iii) Dividend declared during the half year ended 30 September, 2013 under the dividend option of the Scheme is as follows

| Option | Month | Rate of Dividend Per Unit | |
|---|---|---|---|
| | | Individual/HUF | Others |
| Quarterly Dividend | May-13 | 0.1908 | 0.1625 |
| Quarterly Dividend | Aug-13 | 0.1301 | 0.1246 |
| Direct Quarterly Dividend | Aug-13 | 0.1305 | 0.1250 |

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - Nil

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF INTERVAL FUND MONTHLY PLAN SERIES 1

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| Central Bank of India (08/10/2013) ** # | CARE A1+ | 2,500,000 | 2,494.95 | 24.57% |
| State Bank of Hyderabad (09/10/2013) ** # | ICRA A1+ | 2,500,000 | 2,494.30 | 24.57% |
| **Sub Total** | | | **4,989.25** | **49.14%** |
| **Commercial Paper** | | | | |
| Piramal Enterprises Ltd. (07/10/2013) ** # | ICRA A1+ | 2,600,000 | 2,595.32 | 25.56% |
| Indiabulls Housing Finance Ltd. (09/10/2013) ** # | CRISIL A1+ | 2,500,000 | 2,493.94 | 24.56% |
| **Sub Total** | | | **5,089.26** | **50.12%** |
| **Total** | | | **10,078.51** | **99.26%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 77.33 | 0.76% |
| **Sub Total** | | | **77.33** | **0.76%** |
| **Total** | | | **77.33** | **0.76%** |
| **Net Receivables / (Payables)** | | | **(2.22)** | **(0.02)%** |
| **GRAND TOTAL** | | | **10,153.63** | **100.00%** |

** Non Traded Security for The Day
# Unlisted Security

# LIC NOMURA MUTUAL FUND

Registered Office: Industrial Assurance Building, 4th Floor, Opp. Churchgate Station, Mumbai - 400 020, Tel: 022 - 22851661

# HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013
## (Pursuant to regulation 59A of SEBI Mutual Funds Regulations 1996)

## LIC NOMURA MF INTERVAL FUND MONTHLY PLAN SERIES 1 (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 10.0627 | 10.0572 |
| Regular Growth Option | 14.7038 | 15.3783 |
| Direct Dividend Option | 10.0600 | 10.0575 |
| Direct Growth Option | 14.7000 | 15.3787 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

ii) Average Maturity Period - 8 days

iii) Dividend declared during the half year ended 30 September, 2013 under the dividend option of the Scheme is as follows:

| Option | Month | Rate of Dividend Per Unit | |
|---|---|---|---|
| | | Individual/HUF | Others |
| Direct Dividend Option | Apr-13 | 0.0678 | 0.0578 |
| Direct Dividend Option | May-13 | 0.0635 | 0.0541 |
| Direct Dividend Option | Jun-13 | 0.0557 | 0.0534 |
| Direct Dividend Option | Jul-13 | 0.0580 | 0.0555 |
| Direct Dividend Option | Aug-13 | 0.0493 | 0.0472 |
| Direct Dividend Option | Sep-13 | 0.0762 | 0.0730 |
| Regular Dividend Option | Apr-13 | 0.0679 | 0.0578 |
| Regular Dividend Option | May-13 | 0.0634 | 0.0540 |
| Regular Dividend Option | Jun-13 | 0.0558 | 0.0534 |
| Regular Dividend Option | Jul-13 | 0.0577 | 0.0553 |
| Regular Dividend Option | Aug-13 | 0.0489 | 0.0469 |
| Regular Dividend Option | Sep-13 | 0.0758 | 0.0726 |

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - Nil

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

## LIC NOMURA MF INTERVAL FUND ANNUAL PLAN-SERIES 1

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| Name of the Instrument | Rating | Quantity | Market/Fair Value (₹ in Lacs) | % to Net Assets |
|---|---|---|---|---|
| **Money Market Instruments** | | | | |
| **Certificate of Deposit** | | | | |
| HDFC Bank Ltd. (16/05/2014) ** # | CARE A1+ | 1,800,000 | 1,698.31 | 27.24% |
| Oriental Bank of Commerce (21/05/2014) ** # | CRISIL A1+ | 1,000,000 | 942.33 | 15.11% |
| ING Vysya Bank Ltd. (21/05/2014) ** # | CRISIL A1+ | 1,000,000 | 941.66 | 15.10% |
| State Bank of Hyderabad (05/05/2014) ** # | ICRA A1+ | 400,000 | 378.44 | 6.07% |
| **Sub Total** | | | **3,960.74** | **63.53%** |
| **Commercial Paper** | | | | |
| Reliance Capital Ltd. (21/05/2014) ** # | CRISIL A1+ | 1,800,000 | 1,688.37 | 27.08% |
| Sundaram BNP Paribas Home Fina Ltd. (21/05/2014) ** # | ICRA A1+ | 600,000 | 562.18 | 9.02% |
| **Sub Total** | | | **2,250.54** | **36.10%** |
| **Total** | | | **6,211.29** | **99.63%** |
| **CBLO / Reverse Repo** | | | | |
| CBLO | | | 26.45 | 0.42% |
| **Sub Total** | | | **26.45** | **0.42%** |
| **Total** | | | **26.45** | **0.42%** |
| **Net Receivables / (Payables)** | | | **(3.19)** | **(0.05)%** |
| **GRAND TOTAL** | | | **6,234.55** | **100.00%** |

** Non Traded Security for The Day

\# Unlisted Security

## LIC NOMURA MF INTERVAL FUND ANNUAL PLAN-SERIES 1 (contd.)

### HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2013

| | 28-Mar-13* | 30-Sep-13 |
|---|---|---|
| **NAV** | | |
| Regular Dividend Option | 11.0789 | 10.3637 |
| Regular Growth Option | 14.5200 | 14.9813 |
| Direct Dividend Option | N.A. | 10.3683 |
| Direct Growth Option | N.A. | 14.9920 |

* Opening NAV is for Mar 28 2013 as 29th, 30th & 31st Mar 2013 were non-business days

i) The NPAs/Sundry Debtors provided for as 30 September, 2013 - Nil

ii) Average Maturity Period - 230 days

iii) Dividend declared during the half year ended 30 September, 2013 - Nil

| Option | Month | Rate of Dividend Per Unit | |
|---|---|---|---|
| | | Individual/HUF | Others |
| Yearly Dividend | May-13 | 0.9174 | 0.7816 |

iv) No Bonus declared during the period ended 30 September, 2013

v) Total outstanding exposure in derivative instruments as on 30 September, 2013 is Nil

vi) Total Market value of investments in Foreign Securities/American Depositary Receipts/Global Depositary Receipts/Overseas ETFs as at 30 September, 2013 is ₹ Nil.

vii) Funds parked in short term deposit as on 30 September, 2013 - Nil

viii) Total value and percentage of illiquid securities as on 30 September, 2013 - Nil

**Further, Investors are hereby informed that pursuant to sub-regulation (1) of Regulation 59 of SEBI (Mutual Funds) Regulations 1996, half yearly unaudited financial results as on September 30, 2013 of schemes of LIC Nomura Mutual Fund ('the fund') has been hosted on the website of the fund. Unitholders can refer the same at www.licnomuramf.com**

PRESSMAN

Ad size: 329 mm (w) x170mm (h)