

Investor Complaints Report
Redressal of Complaints received against Mutual Funds (MFs) during 2012-2013

Name of Mutual Fund : LIC NOMURA Mutual Fund
Total Number of Folios : 321488

Complaint Code	Type of Complaint#	(a) No. of complaints pending at the beginning of the year	Action on (a) and (b)									
			(b) No. of Complaints received during the year	Resolved				Non Action able *	Pending			
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months
I A	Non receipt of Dividend on Units	5	31	22	15	4	0	0	2	0	0	0
I B	Interest on delayed payment of Dividend	0	0	0	0	0	0	0	0	0	0	0
I C	Non receipt of Redemption Proceeds	4	167	113	22	11	0	0	16	0	0	0
I D	Interest on delayed payment of Redemption	1	5	5	0	1	0	0	0	0	0	0
II A	Non receipt of Statement of Account/Unit Certificate	0	0	0	0	0	0	0	0	0	0	0
II B	Discrepancy in Statement of Account	0	36	36	0	0	0	0	0	0	0	0
II C	Data corrections in Investor details	0	6	6	0	0	0	0	0	0	0	0
II D	Non receipt of Annual Report/Abridged Summary	0	0	0	0	0	0	0	0	0	0	0
III A	Wrong switch between Schemes		0	0	0	0	0	0	0	0	0	0
III B	Unauthorized switch between Schemes	0	0	0	0	0	0	0	0	0	0	0
III C	Deviation from Scheme attributes	0	0	0	0	0	0	0	0	0	0	0
III D	Wrong or excess charges/load	0	0	0	0	0	0	0	0	0	0	0
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	0	1	1	0	0	0	0	0	0	0	0
IV	Others*	2	15	13	2	1	0	0	2	0	1	0
Total		12	261	196	39	17	0	0	20	0	1	0

* If others include a type of complaint which is more than 10% of overall complaint, provide that reason separately.

Example: Complaint number from I A to III E is 1000 and Others alone is 500 and transmission linked complaints (within others) are 200 then provide Transmission