

| Brokerage Structure - 1st July, 2020 to 30th September, 2020 - Platinum Category |                                                                                                                                                                                             |                          |                                   |                                                   |
|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-----------------------------------|---------------------------------------------------|
|                                                                                  |                                                                                                                                                                                             |                          |                                   |                                                   |
| Scheme Name                                                                      | Exit Load                                                                                                                                                                                   | PLATINUM CATEGORY (P)    |                                   |                                                   |
|                                                                                  |                                                                                                                                                                                             | Normal Trail Brokerage   |                                   | Exclusive B30 Trail Brokerage (for 1st Year only) |
|                                                                                  |                                                                                                                                                                                             | First Year Trail APM (%) | Second Year Onwards Trail APM (%) | Trail APM from day 1 onwards (%)                  |
| Equity Funds                                                                     |                                                                                                                                                                                             |                          |                                   |                                                   |
| LIC MF Multi Cap Fund                                                            | 12% of units without any load and balance units with 1% load if exit within 1 year from date of allotment of units; Exit after 1 year from date of allotment of units: Nil                  | 1.30                     | 1.20                              | 1.25                                              |
| LIC MF Large Cap Fund                                                            |                                                                                                                                                                                             | 1.30                     | 1.20                              | 1.25                                              |
| LIC MF Large & Midcap Fund                                                       |                                                                                                                                                                                             | 1.30                     | 1.20                              | 1.25                                              |
| LIC MF Children's Gift Fund                                                      | Nil                                                                                                                                                                                         | 1.30                     | 1.20                              | 1.25                                              |
| Equity Funds - Thematic                                                          |                                                                                                                                                                                             |                          |                                   |                                                   |
| LIC MF Banking & Financial Services Fund                                         | 12% of units without any load and balance units with 1% load if exit within 1 year from date of allotment of units; Exit after 1 year from date of allotment of units: Nil                  | 1.30                     | 1.20                              | 1.25                                              |
| LIC MF Infrastructure Fund                                                       |                                                                                                                                                                                             | 1.30                     | 1.20                              | 1.25                                              |
| Hybrid Funds                                                                     |                                                                                                                                                                                             |                          |                                   |                                                   |
| LIC MF Debt Hybrid Fund                                                          | 12% of units without any load and balance units with 1% load if exit within 1 year from date of allotment of units; Exit after 1 year from date of allotment of units: Nil                  | 1.15                     | 1.05                              | 0.25                                              |
| LIC MF Equity Hybrid Fund                                                        |                                                                                                                                                                                             | 1.30                     | 1.20                              | 1.25                                              |
| LIC MF Arbitrage Fund                                                            | Exit within 15 days from date of allotment of units: 0.50%; Exit between 15 days to 1 month from date of allotment of units: 0.25%; Exit after 1 month from date of allotment of units: NIL | 0.50                     | 0.50                              | Nil                                               |
| Tax Saver Funds                                                                  |                                                                                                                                                                                             |                          |                                   |                                                   |
| LIC MF Unit Linked Insurance Scheme                                              | Nil                                                                                                                                                                                         | 1.25                     | 1.15                              | 1.25                                              |
| LIC MF Tax Plan                                                                  | Nil                                                                                                                                                                                         | 1.25                     | 1.15                              | 1.25                                              |
| Index and Exchange Traded Funds                                                  |                                                                                                                                                                                             |                          |                                   |                                                   |
| LIC MF Index Fund - Sensex Plan                                                  | 0.25% for exit within 7 days; Nil for exit after 7 days                                                                                                                                     | 0.50                     | 0.50                              | Nil                                               |
| LIC MF Index Fund - Nifty Plan                                                   |                                                                                                                                                                                             | 0.50                     | 0.50                              | Nil                                               |
| LIC MF Exchange Traded Fund - Nifty 50                                           | Nil                                                                                                                                                                                         | 0.05                     | 0.05                              | Nil                                               |
| LIC MF Exchange Traded Fund - Nifty 100                                          | Nil                                                                                                                                                                                         | 0.05                     | 0.05                              | Nil                                               |
| LIC MF Exchange Traded Fund - Sensex                                             | Nil                                                                                                                                                                                         | 0.05                     | 0.05                              | Nil                                               |
| LIC MF GSec Long Term Exchange Traded Fund                                       | Nil                                                                                                                                                                                         | 0.05                     | 0.05                              | Nil                                               |
| Fixed Income Funds                                                               |                                                                                                                                                                                             |                          |                                   |                                                   |
| LIC MF Bond Fund                                                                 | 1% if exit within 1 year                                                                                                                                                                    | 0.15                     | 0.15                              | Nil                                               |
| LIC MF Banking & PSU Debt Fund                                                   | Nil                                                                                                                                                                                         | 0.45                     | 0.45                              | Nil                                               |
| LIC MF GSec Fund                                                                 | 0.25% if exit within 30 days                                                                                                                                                                | 0.55                     | 0.55                              | Nil                                               |
| LIC MF Savings Fund                                                              | Nil                                                                                                                                                                                         | 0.40                     | 0.40                              | Nil                                               |
| LIC MF Short Term Debt Fund                                                      | Nil                                                                                                                                                                                         | 0.80                     | 0.80                              | Nil                                               |
| LIC MF Overnight Fund                                                            | Nil                                                                                                                                                                                         | 0.10                     | 0.10                              | Nil                                               |
| LIC MF Ultra Short Term Fund                                                     | Nil                                                                                                                                                                                         | 0.20                     | 0.20                              | Nil                                               |
| LIC MF Liquid Fund                                                               | 0.0070% for Day 1 exit, 0.0065% for Day 2 exit, 0.0060% for Day 3 exit, 0.0055% for Day 4 exit, 0.0050% for Day 5 exit, 0.0045% for Day 6 exit, Nil from Day 7 onwards                      | 0.05                     | 0.05                              | Nil                                               |



Chief Marketing Officer

See Overleaf for Terms & Conditions

## Terms and Conditions

- 1) Pursuant to SEBI Circular Ref:SEBI/HO/IMD/DF2/CIR/P/2018/137 dated October 22, 2018, effective October 22, 2018, the Exclusive B30 brokerage shall be paid only on inflows from individual investors from B30 cities and shall be paid as Trail only. The existing claw-back conditions remain unchanged.
- 2) The above brokerage structure is valid only for the period from 1st July, 2020 to 30th September, 2020 and LIC Mutual Fund Asset Management Limited ("LICMF AMC") reserves the right to change the same without any prior notice.
- 3) Effective April 01, 2018, the classification of T-15/B-15 cities stands revised to T-30/B-30, as per prevailing provisions of the SEBI (MF) Regulations. Hence, the exclusive brokerage paid to B15 cities stands revised accordingly. The Applicable Exclusive B30 Brokerage offered during the period 1st January, 2016 to 21st October, 2018 for SIP/STP Transactions shall be payable ONLY UPTO 21/10/2018. Effective, 22nd October, 2018 the new brokerage structure is applicable.
- 4) T-30 refers to the Top 30 Cities provided by AMFI and B-30 refers to all other Cities beyond the Top 30 Cities. In addition, the NRI investments shall be considered as T-30 investments for the purpose of brokerage payment.
- 5) LIC MF AMC reserves the right to change / modify / discontinue / withhold the rates and slabs mentioned at its sole discretion without any prior intimation or in case of Regulatory Changes / Changes in Industry Practices in respect of Brokerage. LIC MF AMC, its employees or Trustees shall not be responsible for any loss incurred by anyone due to change, errors or omissions in the brokerage structure.
- 6) The brokerage structure is applicable for types of transactions ie., Lumpsum, SIP/STP and switch-in transactions.
- 7) The brokerage / incentive amount shall be Gross and inclusive of all statutory levies, if any. The payment to the distributors shall be after deducting any statutory levies, if any as per prevailing provisions of Law. The brokerage shall be paid only to AMFI / NISM Certified & KYD complied distributors. Distributors are advised to abide by the code of conduct and rules/regulations laid down by SEBI/AMFI from time to time. LICMF AMC shall be constrained to initiate necessary action, if any distributor(s) who found violating code of conduct or regulations.
- 8) This brokerage structure is subject to EUIN regulations/guidelines as specified by SEBI/AMFI and/or adopted by the Mutual Fund Industry.
- 9) In terms of a SEBI directive, the Distributor/Advisor shall not take any irrevocable Power of Attorney from its clients in connection with investments in the schemes of LIC Mutual Fund and the liability of Distributor / Advisor shall not be limited to his failure to discharge his obligations.
- 10) Any claims, disputes or difference arising under or in connection with this arrangement or anything done or omitted to be done pursuant hereto shall be subject to the exclusive jurisdiction of the civil courts in Mumbai.
- 11) In the event of any excess payment of brokerage to the distributors, LICMF AMC shall reserve the right to deduct and appropriate the excess amount from any amounts subsequently payable to the distributor. In such event, no subsequent amount is payable by LICMF AMC to the distributor till the time the excess gets adjusted. Distributor shall refund the excess amount (unadjusted) if any to LICMF AMC within 30 days of demand.
- 12) The Distributors/ARNs are requested to update their Bank Account details with our RTA M/s KFin. The threshold limit for payment of brokerage through NEFT/RTGS is Rs.250/- and through warrant/DD is Rs.1,000/-. If the brokerage payable is less than threshold limit, the same will be kept on hold and will be released once the cumulative brokerage reaches the threshold limit.
- 13) Pursuant to SEBI Circular Ref.No.SEBI/HO/IMD/DF2/CIR/P/2018/91 dated 05-June-2018, the additional trail brokerage (paid in lieu of additional TER charged against exit load, where applicable) stands revised from 0.20% to 0.05% for existing assets, procured on or after 01-Oct-2012 and upto 31-March-2018. This change is effective 01-July-2018.

### LIC Mutual Fund Asset Management Ltd.

Investment Managers to LIC Mutual Fund

**Formerly known as LIC Nomura Mutual Fund Asset Management Co. Ltd.**

Industrial Assurance Building, 4th Floor,

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To know more, please visit [www.licmf.com](http://www.licmf.com)

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**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**